**Approval Authority Meeting**
Thursday, January 09, 2020
10:00 A.M.

**Location**
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
**OES Assembly Room**

**Agenda**

1. **CALL TO ORDER**
   **ROLL CALL**

   | | |
   |---|---|
   | UASI Chair | Mary Ellen Carroll, City and County of San Francisco |
   | UASI Vice-Chair | Rich Lucia, County of Alameda |
   | Member | Michael Cochrane, City and County of San Francisco |
   | Member | Toshia Shavies Marshall, City of Oakland |
   | Member | Raymond Riordan, City of San Jose |
   | Member | Dana Reed, County of Santa Clara |
   | Member | Mike Casten, County of Contra Costa |
   | Member | Bob Doyle, County of Marin |
   | Member | Gerry Malais, County of Monterey |
   | Member | Mark Robbins, County of San Mateo |
   | Member | Christopher Godley, County of Sonoma |
   | | |
   | General Manager | Craig Dziedzic |

2. **APPROVAL OF THE MINUTES** (Discussion, Possible Action)
   Discussion and possible action to approve the draft minutes from the November 14, 2019 regular meeting or take any other action related to the matter.
   *(Document for this item includes draft minutes from November 14, 2019.) 5 mins*

3. **GENERAL MANAGER'S REPORT** (Discussion, Possible Action)
   General Manager Craig Dziedzic will present his report:

   a. FY20 UASI Grant Update (Discussion)
   b. Regional Catastrophic Preparedness Grant Program (RCPGP) and Securing the Cities (STC) Grants Update (Discussion)
   c. 2020 National Homeland Security Conference Presentations (Discussion)
   d. Management Team Tracking Tool and Future Agenda Items (Discussion)

   *(Documents for this item are a report and the Tracking Tool from Craig Dziedzic.) 5 mins*

4. **ELECTION OF UASI OFFICERS** (Discussion, Possible Action)
   The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the 2020 term. Possible action to support any recommendation(s) or take any other action related to this matter.
   *(Document for this item is a report from Craig Dziedzic.) 5 mins*

5. **FY20 SUSTAINMENT AND REGIONAL PROJECTS AND FUNDING RECOMMENDATIONS** (Discussion, Possible Action)
   Regional Grants Manager Mary Landers will present the proposed FY20 UASI sustainment and regional projects with funding recommendations.  The following presentations will be made:
         a. Training & Exercise Annual Report – Janell Myhre and Jim Bailey
         b. Northern California Regional Intelligence Center Annual Report – Mike Sena
         c. Regional Information Sharing System Annual Report – Brian Rodrigues
         d. All other regional projects – Mary Landers
   *(Documents for this item are a report and six (6) appendices.) 25 mins*

6. **RISK MANAGEMENT KICK-OFF 2020** (Discussion, Possible Action)
   Project Manager Amy Ramirez will present the 2020 Risk Management program.
   *(Documents for this item are a report and two appendices from Amy Ramirez.) 5 mins*

7. **FY17 UASI SPENDING REPORT** (Discussion, Possible Action)
   Chief Financial Officer Tristan Levardo will present the FY17 UASI Spending Report.
   *(Documents for this item are a report and an appendix from Tristan Levardo.) 5 mins*

8. **ANNOUNCEMENTS - GOOD OF THE ORDER**

9. **GENERAL PUBLIC COMMENT**
   Members of the public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

10. **ADJOURNMENT**

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA, 94102 during normal office hours: 8:00 a.m. - 5:00 p.m.

**Public Participation:**

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes any action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to that particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment.* The Approval Authority shall include general public comment as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.

- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling,

screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

**Disability Access**

The Bay Area UASI Approval Authority will hold its meeting at the James Burton Federal Building, Northern California Regional Intelligence Center, 2nd Floor, California Conference Room, located at 450 Golden Gate Avenue, San Francisco, CA 94102. In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI Administrative Assistant, at least 24 hours prior to the meeting, at (415) 353-5223.

**Bay Area UASI Program**
**Approval Authority Meeting**
Thursday, November 14, 2019
10:00 A.M.

**Location**
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

**REGULAR MEETING MINUTES**
**DRAFT**

1. **Roll Call**

   UASI Vice-Chair Rich Lucia called the meeting to order at 10:03 AM and General Manager Craig Dziedzic subsequently took the roll. Vice-Chair Lucia was present. Chair Mary Ellen Carroll was absent, but her alternate Adrienne Bechelli was present. Members Michael Cochrane, Toshia Shavies Marshall, Gerry Malais, and Christopher Godley were present. Members Raymond Riordan, Dana Reed, Mike Casten, Bob Doyle, and Mark Robbins were absent, but their alternates Judi Torrico, David Flamm, Chris Simmons, Rick Navarro, and Scott Kirkpatrick were present.

2. **Approval of the Minutes**

   Vice Chair Lucia asked for any comments or questions concerning the minutes from the August 8, 2019 meeting. Seeing none, he requested a motion to approve the minutes.

   **Motion:**      Approve the minutes from the August 8, 2019 Approval Authority meeting.

   **Moved:**      Member Flamm      **Seconded:** Member Marshall

   **Vote:**      The motion was passed unanimously.

3. **General Manager's Report**

   (a)      Notification of Subrecipient FY 19 Award

   General Manager Craig Dziedzic notified the Approval Authority members of Cal OES' approval of the Bay Area UASI's FY 2019 Homeland Security Grant application. The Management Team received the California Supplement to the Federal Notice of Funding Opportunity for the FY 2019 Homeland Security Grant Program and all FY 2019 MOUs have been sent to grant subrecipients.

(b)    UASI FY 2020 Project Proposal Process

General Manager Craig Dziedzic briefed the board on the FY 20 project proposal process. Online submissions began in September and closed in October. A total of 189 applications, amounting to $37,288,989, were received. This amount exceeds the amount of anticipated funding.

Approval Authority Members have been given the opportunity to review their jurisdiction's proposals from November 14 to December 1, 2019. This allows them time to ensure consistency with their own operational area and/or core city priorities.

On October 30, 2019, BAUASI Regional Grants Manager Mary Landers sent an email to Approval Authority Members requesting confirmation of their respective Hub Voting members by November 13, 2019.

(c)    Other Grant Updates

General Manager Craig Dziedzic provided the Approval Authority updates on other grants:

Regional Catastrophic Preparedness Grant Program (RCPGP)

In September 2019, the Bay Area UASI was notified by the Department of Homeland Security (DHS) that the RCPGP application was accepted and approved for $1,406,550. The grant has a three year performance period and allows 5% for management and administration (M&A). The Management team will be increasing its staff to accommodate a project manager and an analyst to oversee the Commodity Points of Distribution (C-POD) project.

Securing the Cities (STC) Grant

The Bay Area UASI was one of six urban areas selected by the Countering Weapons of Mass Destruction (CWMD) Office to apply for the STC grant, which is a cooperative grant having a ten year performance period, 5% M&A, and a 48 county area of responsibility. The total amount available to the six agencies the first year is $12 million. The Bay Area UASI has requested $7.3 million. The purpose of the grant is to increase the region's preventive, radiological, nuclear, detection (PRND) capabilities.

A member of the board made a comment.

(d)    Approval of the 2020 Calendar for Approval Authority Meetings

General Manager Craig Dziedzic proposed the 2020 Schedule of Approval Authority Meetings to the Board.

**Motion:**     Approve the 2020 schedule of Approval Authority meetings.

**Moved:**     Member Navarro     **Seconded:**     Member Cochrane

**Vote:**     The motion was passed unanimously.

No comments were made.

(e)    Management Team Tracking Tool and Future Agenda Items

There were no additions to the tracking tool.

No comments were made.

4.  **FY 20 HUB Funding Allocation Formula**

Project Manager Amy Ramirez proposed the Hub Funding Formula for the FY 20 grant cycle. The Bay Area UASI uses DHS' Metropolitan Statistical Area risk formula to guide the administration of grant dollars among the four hubs.  The FY 2020 allocation percentages will be as follows: East Hub = 23.54%; North Hub = 6.65%; South Hub = 25.08%; West Hub = 44.73%. The FY 2020 hub allocation risk percentages are unchanged from FY 2019.

| | |
|---|---|
| **Motion:** | Approve the proposed FY 2020 UASI HUB funding formula. |

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|---|---|---|---|
| **Moved:** | Member Flamm | **Seconded:** | Member Marshall |

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|---|---|
| **Vote:** | The motion was passed unanimously. |

No comments were made.

5.  **2019 THIRA/SPR Update**

Regional Project Manager Amy Ramirez provided a background briefing on the updated THIRA/SPR and requested approval from the Approval Authority.

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|---|---|
| **Motion:** | Approve the 2019 THIRA/SPR for submission to FEMA. |

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|---|---|---|---|
| **Moved:** | Member Malais | **Seconded:** | Member Cochrane |

| | |
|---|---|
| **Vote:** | The motion was passed unanimously. |

Two members of the board made comments.

6.  **Regional Training and Exercise RFP Update**

Regional Program Manager Janell Myhre provided an update on the Regional Training and Exercise (T&E) RFP process. Based on their analysis of the applicants, the Management Team and a Technical Review panel recommended the selection of Sensemakers, Inc. Upon a further fiscal review, it was determined that additional regional savings could be achieved by funding Sensemakers to perform all programmatic activities (e.g. training course admin, exercise design/conduct and community preparedness) and by adding 2 members to the Management Team to provide administrative support (e.g. T &E Work group management, developing multi-year T & E plan, and program management).  This "blended approach" is expected to save the region approximately $750,000 from the originally funded $4.7 million Training and Exercise program. The Management team further recommends the equal distribution of these funds to the four (4) Hubs for a total of $187,500 to each Hub.

**Motion:**     Accept a blended staffing approach to administer the Regional Training and Exercise Program and accept the equal distribution of $750,000 to the four (4) Hubs.

**Moved:**     Member Cochrane     **Seconded:**     Member Simmons

**Vote:**     The motion was passed unanimously.

Four members of the board made comments.

7. **Radiological Security Initiative Update**

Ed Baldini from the Office of Radiological Security (ORS) provided an update on ORS 2020 Cities Initiative activities in the Bay Area. Mr. Baldini reviewed current capabilities and commended the Bay Area for increasing the security of radiological sources in the Bay Area. He also discussed the next steps in continuing and sustaining important radiological security efforts.

A member of the board made a comment.

8. **CBRNE Program Update**

Regional Project Manager Phil White provided an update on the Chemical Biological Radiological Nuclear Explosive (CBRNE) program. He stated that the region's Preventive Radiological/Nuclear Detection (PRND) Concept of Operations Plan aligns with the National PRND Concept of Operations Plan. He also discussed that the Bay Area UASI has applied for the Securing the Cities grant. This anticipated grant award will enhance Bay Area CBRNE and PRND capabilities, increase equipment ownership and provide additional training and exercise funding to the region.

Two members of the board made comments.

9. **BAYRICS JPA Quarterly Report**

BayRICS General Manager Corey Reynolds presented the BayRICS JPA Quarterly Report. He discussed the quarterly report and its strategic initiatives, and progress. Mr. Reynolds also presented the future goals of the BayRICS Authority.

Three members of the board made comments.

10. **Reallocation of Grant Funds and FY 19 Cybersecurity Assessment**

Chief Financial Officer Tristan Levardo presented a report on the reallocation of FY 18 grant funds and the FY 19 Cybersecurity Assessment requirement. He stated that, in accordance with Approval Authority Bylaws Section 8.5, the Management Team is to report project budget changes under $250,000 on a bi-annual basis.

He also stated that the FY 2019 subrecipients are required to complete the Nationwide Cybersecurity Review (NCSR). Failure to complete the NCSR in a timely manner will be deemed as noncompliant and may result in a suspension and/or termination of funding for the subrecipient.

One member of the board made a comment.

**11.**     **<u>Announcements - Good of The Order</u>**

Craig Dziedzic made a comment about the UASI Holiday Party on December 3.

**11.**     **<u>Adjournment</u>**

The meeting adjourned at 11:38 AM.

**To:**     **Bay Area UASI Approval Authority**

**From:**  **Craig Dziedzic, General Manager**

**Date:**   **January 9, 2020**

**Re:**     **Item 03: General Manager's Report**

**Recommendation:**

No recommendation

**Action or Discussion Items:**

(a)   FY20 UASI Grant Update (Discussion)
(b)   Regional Catastrophic Preparedness Grant Program (RCPGP) and Securing the Cities (STC) Grants Update (Discussion)
(c)   2020 National Homeland Security Conference Presentations (Discussion)
(d)   Management Team Tracking Tool and Future Agenda Items (Discussion)

**Discussion/Description:**

(a)  FY20 UASI Grant Update (Discussion)

The fiscal year (FY) 2020 Consolidated Appropriations bills were signed into law on December 20, 2019, and provides discretionary funding for all federal agencies including the Departments of Justice and Homeland Security. The bill funds these departments through the end of the fiscal year, September 30, 2020.

Funding for grant programs will increase slightly by providing $665 million for the Urban Area Security Initiative. See Chart below:

| Homeland Security Programs | | | |
|---|---|---|---|
| **Program** | **FY 2018 Enacted** | **FY 2019 Enacted** | **FY 2020 Omnibus** |
| Urban Area Security Initiative | $630,000,00 | $640,000,00 | $665,000,00 |
| Nonprofit Security Grants | ($50,000,00) | ($50,000,00) | ($50,000,00) |

(b)  RCPGP and STC Grants Updates

On December 17, 2019 the Management Team met with FEMA Region IX grants division and was handed the official award for the Regional Catastrophic Preparedness Grant in the amount of $1.4 million. The

Management Team is preparing the Accept and Expend legislation for approval by the San Francisco Board of Supervisors.

On December 4, 2019, the Management Team had a telephone conference with representatives from the Countering Weapons of Mass Destruction (CWMD) Office regarding our application for the Securing the Cities (STC) grant. The UASI Management Team had initially requested approximately $7.3 million in the application but now were advised that the STC grant award would only be $2 million and a revision of the budget and budget narrative reflecting this change was required. The Management Team submitted the revised documents and we are waiting for the official award letter.

(c) 2020 National Homeland Security Conference (Discussion)

For the upcoming 2020 National Homeland Security Conference, which will be held in Chicago, IL (June 29 – July 3), the Management Team submitted three panel presentations highlighting regional, collaborative, and whole community preparedness initiatives of the Bay Area UASI.

These presentations include the following:

1. Bridging the Gap Between Cybersecurity and Emergency Response
2. How to Effectively Sustain Grant Funded Programs
3. Leveraging Federal Partnerships in Building and Sustaining a Regional PRND Program

(d) Management Team Tracking Tool and Future Agenda Items

Attached as Appendix A is the Management Team Tracking Tool. Members may submit future agenda items to the General Manager.

# UASI Approval Authority and Management Team Tracking Tool

January 9, 2020 Approval Authority Meeting

| # | Agenda Item | Presenter | Date Assigned | Due Date | Status / Comments |
|---|---|---|---|---|---|
| 1 | Supply Chain Resilience Close Out | Amy Ramirez | 12/10/19 | 3/12/20 | |
| 2 | Bay Ferry V Exercise Update | Phil White | 8/12/19 | 3/12/20 | |
| 3 | Alert and Warning Exercise AAR | Mikyung Kim-Molina | 8/12/19 | 3/12/20 | |
| 4 | 2020 Mass Notification Conference Update | Mikyung Kim-Molina | 8/12/19 | 3/12/20 | |
| 5 | Para-Transit Exercise AAR | Wendy Suhr | 8/12/19 | 3/12/20 | |
| 6 | Regional Coordination Exercise AAR | Wendy Suhr | 8/12/19 | 3/12/20 | |
| 7 | FY21 UASI Management Team Work Plans | Craig Dziedzic | 8/12/19 | 5/14/20 | |
| 8 | 2020 UASI Workgroup Annual Plans | Janell Myhre | 8/12/19 | 5/14/20 | |
| 9 | Stakeholder Outreach Feedback | Janell Myhre | 10/9/19 | 5/14/20 | |
| 10 | 2019 Project Tool Kit Review | Janell Myhre | 8/12/19 | 5/14/20 | |
| 11 | Disaster Service Worker Project Update | Wendy Suhr | 8/12/19 | 5/14/20 | |
| 12 | 2020 BAMPWG Exercise Update | Amy Ramirez | 8/12/19 | 6/11/20 | |
| 13 | Cyber Security Response Framework Project Update | Mikyung Kim-Molina | 8/12/19 | 6/11/20 | |
| 14 | Cyber Security Tiered Training Project Update | Mikyung Kim-Molina | 8/12/19 | 6/11/20 | |
| 15 | 2020 Mass Notification Conference Close Out | Mikyung Kim-Molina | 8/12/19 | 6/11/20 | |
| 16 | FY19 Bay Alert Project Update | Mikyung Kim-Molina | 8/12/19 | 9/10/20 | |

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|---|---|---|---|---|---|
| **Recurring Agenda Items** |
| **#** | **Agenda Item** | **Deliverable** | **Presenter** | **Due Date** | **Status / Comments** |
| A | UASI Financial Reports | Report | Tristan Levardo | 03/12/20 <br> 05/14/20 <br> 06/11/20 <br> 09/10/20 <br> 01/14/21 | Bay Area UASI Travel Expenditures <br> Reallocation of Grant Funds <br> FY19 UASI Spending Report <br> Bay Area UASI Travel Expenditures <br> FY18 UASI Spending Report |
| B | BayRICS JPA Quarterly Report | Report | Corey Reynolds | 03/12/20 <br> 09/10/20 <br> 11/12/20 <br> 01/14/21 | BayRICS JPA Report |
| C | Election of UASI Officers | Discussion & Action Item | Chair | 01/14/21 (annually) | |
| D | NCRIC Annual Report | Report | Mike Sena | 01/14/21 (annually) | |
| E | Training and Exercise Program Annual Report | Report | TBD | 01/14/21 (annually) | |
| F | NCRIC Threat Briefing | Report | Mike Sena | 05/14/20 <br><br> 09/10/20 (annually) | Cyber Briefing (Possible Closed Session) <br> Threat Briefing/Cyber Briefing (Possible Closed Session) |
| G | Risk Management Program | Report | Amy Ramirez | 06/11/20 (annually) <br> 11/12/20 (annually) <br> 11/12/20 (annually) <br> 01/14/21 (annually) | Update <br> THIRA approval <br> Hub funding allocation/Risk formula application <br> Kick Off |

**To:** **Bay Area UASI Approval Authority**

**From:** **Craig Dziedzic, General Manager**

**Date:** **January 9, 2020**

**Re:** **Item 04: Election of UASI Officers**

**Staff Recommendation:**

No recommendation

**Action or Discussion Items:**

Action

**Discussion:**

Following the process outlined in the Bay Area UASI Approval Authority Bylaws, the Approval Authority shall elect a Chair and Vice-Chair from among the Member's primary representatives on an annual basis. The General Manager will initiate the nomination process and candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

After the vote for Chair has taken place, the General Manager will open nominations for Vice-Chair. The candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

**To:     Bay Area UASI Approval Authority**

**From:  Mary Landers, Regional Grants Manager**

**Date:   January 9, 2020**

**Re:     Item 05:  UASI FY20 Sustainment and Proposed Regional Projects**

## Recommendation:

Approve the recommended funding amounts.

## Action or Discussion Items:

Discussion and/or Possible Action.

## Discussion:

Background

The FY20 UASI Project Proposal Guidance states that the Bay Area UASI Management Team will report all regional proposal submissions to the Approval Authority, along with funding recommendations based on the region's risk and gaps as well as funding availability.

Listed in Table One below are regional sustainment projects and regional projects vetted at a UASI workgroup meeting prior to the October 11, 2019 proposal submission deadline. There are three (3) sustainment projects: training and exercise, fusion center, and public safety information sharing.  These projects will be presented directly to the Approval Authority in today's meeting.  Regional projects are described in the PowerPoint.

Analysis

The Sustainment Projects will be presented as Annual Reports.

Regional projects will be presented in the PowerPoint and all project leads are available to answer questions from the Approval Authority.

Please note that the Management Team's current funding recommendations for the regional projects assumes that our FY20 grant will be the same as last year, with the State retaining the same percentage of the grant as last year (i.e. 17.5%).

The Management Team will provide final funding recommendations once DHS announces the FY20 grant award amount, likely at either the May or July Approval Authority meeting.  At that time, the Approval Authority will also review/approve all hub projects recommended by hub voting members.

In FY 19, the sustainment projects were funded in the amount of $10,249,263 and the other proposed regional projects were funded in the amount of $971,276. The total for all regional funding for FY 19 totaled $11,220,539.

The total amount requested in FY 20, including the sustainment projects is $14,176,742. One proposed regional project was ineligible ($19,000), so the total eligible requested amount for all regional projects is $14,157,742.

The Management Team recommends funding the FY 20 sustainment projects at $9,862,812 and the other regional projects in the amount of $598,000. The total amount recommended for all sustainment and regional project funding is $10,460,812.

**Table 1: FY20 UASI Proposed Sustainment and Regional Projects**

| Sustainment Project | Proposer | FY19 Allocation | Requested FY20 Amount | Recommended FY20 Allocation |
|---|---|---|---|---|
| Regional Training and Exercise | Bay Area UASI Management Team/Sensemakers | **$4,901,339** | $4,901,339 | $4,151,339 |
| Information Analysis, Infrastructure Protection, and Cyber Security | Northern California Regional Intelligence Center (NCRIC) | **$4,454,066** | $6,741,199 | $5,211,473 |
| Regional Law Enforcement Information Sharing Systems | NCRIC on behalf of the Public Safety Information Sharing Workgroup | **$700,000** | $500,000 | $500,000 |
| **Regional Project** | **Proposer** | **FY19 Allocation** | **Requested FY20 Amount** | **Recommended FY20 Allocation** |
| Regional Voice and Broadband Data Communications, Planning, Governance | Bay Area Regional Interoperable Communications Systems Authority (BayRICS) | $69,000 | $59,000 | $59,000 |
| FY20 Bay Area Regional Mass Notification System User's Conference | Alameda County Sheriff's Office of Emergency Services | $30,000 | $60,000 | $100,000 |
| Regional WebEOC Fusion Project | Marin County Sheriff's Office of Emergency Services | $30,000 | $80,000 | $40,000 |
| P25 Trunked Radio System – regional links | Solano County Sheriff's Office | $64,858 | $966,504 | $100,000 |
| Cyber Resilience Training | City and County of San Francisco DT | NEW PROJECT | $100,000 | $100,000 |
| Threat Monitoring, Threat Hunting, and Alerting | San Francisco Public Utilities Commission | NEW PROJECT | $300,000 | $0 |

| | | | | |
|---|---|---|---|---|
| Regional Virtual Security Operations Center (V-SOC) | County of San Mateo - Information Services Division | NEW PROJECT | $249,900 | $0 |
| Regional Cybersecurity Governance Model | County of San Mateo - Information Services Division | NEW PROJECT | $138,800 | $138,000 |
| Medical/Health Responder Resilience | Alameda County Emergency Medical Services Agency | NEW PROJECT | $16,000 | $16,000 |
| Bay Area Type III Incident Management Team Symposium | Marin County Fire Department | NEW PROJECT | $45,000 | $45,000 |
| Regional Water Rescue Training Equipment Cache & Trailer | Marin County Fire Department | NEW PROJECT | $19,000 | Not eligible |

**To:** **Bay Area UASI Approval Authority**

**From:** **Janell Myhre. Regional Program Manager and Jim Bailey, President, SenseMakers LLC**

**Date:** **January 9, 2020**

**Re:** **Item 05: Training and Exercise Annual Report and Proposed FY20 Allocation Appendix A1**

**Recommended:**

Both the Management Team and SenseMakers recommend funding the Regional Training and Exercise Program in the amount of $4,151,339.

**Action or Discussion Items:**

Action

**Discussion/Description:**

The Bay Area UASI has funded the Regional Training and Exercise Program since July 2011. The regional training and exercise program was developed and managed by the Alameda County Sheriff's Office until March 2019 when Alameda County discontinued their management.

This presentation (Appendix A1) reviews the key achievements of the 2019 Regional Training and Exercise Program, along with a proposed budget. The proposed FY20 budget will be included in the presentation of the overall planned approach from the new administrator of the RTEP, SenseMakers, LLC.

A summary of key achievements by the Regional Training and Exercise Program are as follows:

*January – June 2019*
- Maintained the Regional Training and Exercise team.
- Maintained the Regional Training and Exercise website.
- Continued identifying consortium courses (free training) through the National Domestic Preparedness Consortium (NDPC).

As approved at the March 2019 meeting, each hub received an equal distribution of $3,251,340 in regional Training and Exercise funds to conduct classes locally. Those expenditures began in June 2019 and all classes are scheduled to be completed by June 2020.

A $400,000 increase in funding to supplement Regional Coordination Exercises was also approved at the March 2019. In addition to the Critical Transportation planned activities, exercises to build Paratransit and Critical Transportation capabilities was also conducted. All exercises have been completed and vetted planning and response tools and templates have been provided to stakeholders. The region will use these

tools and After Action information to continue building critical transportation, public information and warning, and access and functional needs capabilities in the 2020 exercise.

**<u>Next Steps:</u>**

As the new Regional Training and Exercise Program Administrator, SenseMakers, Inc., will be working with the UASI Management team to administer the FY20 Regional Training and Exercise Program. Duties shared by SenseMakers and the UASI Management Team includes:

1. Regional Training and Exercise Work Group management
2. Multi-Year Training and Exercise Plan (MYTEP) development
3. Regional Training course selection and implementation
4. Regional Exercise design and conduct
5. Community Preparedness initiative
6. Program Management

The first Regional Training and Exercise Work Group meeting is planned for February 2020. Multi-Year Training and Exercise Program (MYTEP) meetings will begin in March to develop guidance for the next three years of activities. Scheduling for training courses and access to the new training and exercise registration website is expected by May. Regional exercise(s) are planned for execution in the fall of 2020.

SenseMakers and the UASI Management Team will provide status reports on the Regional Training and Exercise Program at each Approval Authority meeting through 2020.

# Bay Area UASI

## Regional Training and Exercise Program
## 2019 Annual Report
## and
## FY20 Regional Proposal

Janell Myhre, Regional Program Manager
Jim Bailey, President, SenseMakers, Inc.

Approval Authority Meeting
Agenda Item 05
January 9, 2020

Appendix A2

# 2019 Training Overview

## Jan 1- June 30, 2019

## UASI Funded Training & Exercise

| | |
|---|---|
| **35** | Training Courses Provided |
| **1,355** | Students Trained |
| **100+** | Participants in regional Coordination Exercise |
| **26,000+** | Registered Participants in the Program |

## National Domestic Preparedness Consortium

| | |
|---|---|
| **16** | Courses Conducted |
| **552** | Students Trained |

# Students Trained by Discipline (2019)



| Discipline | Students |
|---|---|
| AG - Agriculture | 0 |
| CS - Cyber Security | 7 |
| EMG - Emergency Management | 221 |
| EMS - Emergency Medical Services (Non fire-based) | 44 |
| EMS-F - Emergency Medical Services (Fire-based) | 24 |
| FS - Fire Service | 241 |
| GA - Governmental / Administrative | 207 |
| HC - Health Care | 20 |
| HM - Hazmat | 21 |
| LE - Law Enforcement | 374 |
| PH - Public Health | 52 |
| PNP - Not for Profit / Non Profit | 39 |
| PSC - Public ... | 29 |
| PW - Public Works | 76 |
| Unknown | 0 |

# Students Trained by County (2019)



Students Trained (1355)

| County | Students Trained |
|---|---|
| Alameda County | 221 |
| Contra Costa County | 126 |
| Marin County | 59 |
| Monterey County | 15 |
| Napa County | 114 |
| San Benito County | 7 |
| San Francisco County | 256 |
| San Mateo County | 99 |
| Santa Clara County | 172 |
| Santa Cruz County | 117 |
| Solano County | 57 |
| Sonoma County | 89 |
| Unknown / Other | 23 |
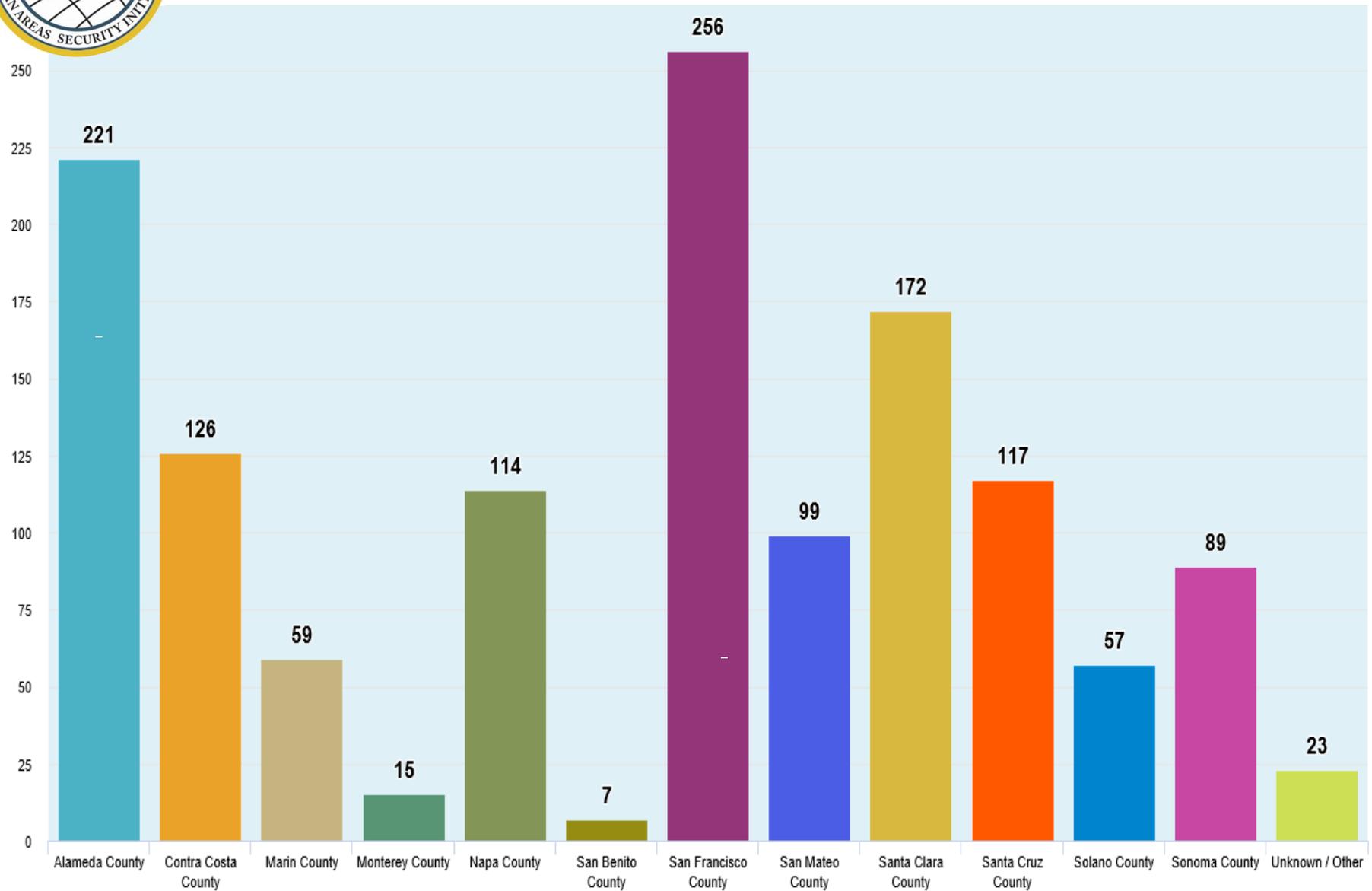
# Reallocated funds

Each Hub received $812,835 in reallocated funds

## East Bay Hub

- Interop Training, Community Preparedness classes, Equipment and training

## North Bay Hub

- Public safety & Community Preparedness Training

## South Bay Hub

- Public safety & Community Preparedness Training

## West Bay Hub

- Public Safety & Community Preparedness Training, Equipment, NERT Training

# Regional Coordination Exercises

Critical Transportation focus:

- **Regional Table Top Exercise**

- **Paratransit Table Top Exercise**

- **JIC/JIS functional exercise (virtual)**

- **AFN services workshop**

- **Animals in disaster**

- **Alert and Warning Exercise(s)**

Participants:

- **UASI Region; CalOES; FEMA IX**

- **MTC; Transit Agencies**

# SF CARD & Community Preparedness

- Engage community based, faith based, and non-profit organizations

- Conduct in person meetings with VOADs, CERT programs, AFN alliance, and other community organizations

- Support training classes to strengthen CBO disaster response

# A Blended Approach

- Sensemakers, LLC selected to administer Regional T & E Program

- UASI Management Team provides administrative functions

Following slides show:

UASI Management Team Functions

Sensemakers Functions

# Reporting Structure

**SenseMakers Team**

**UASI T&E Program Manager**

Program Strategy

Program Finance

IT Support

Program Support

Regional Training

Regional Exercise

Community Prep
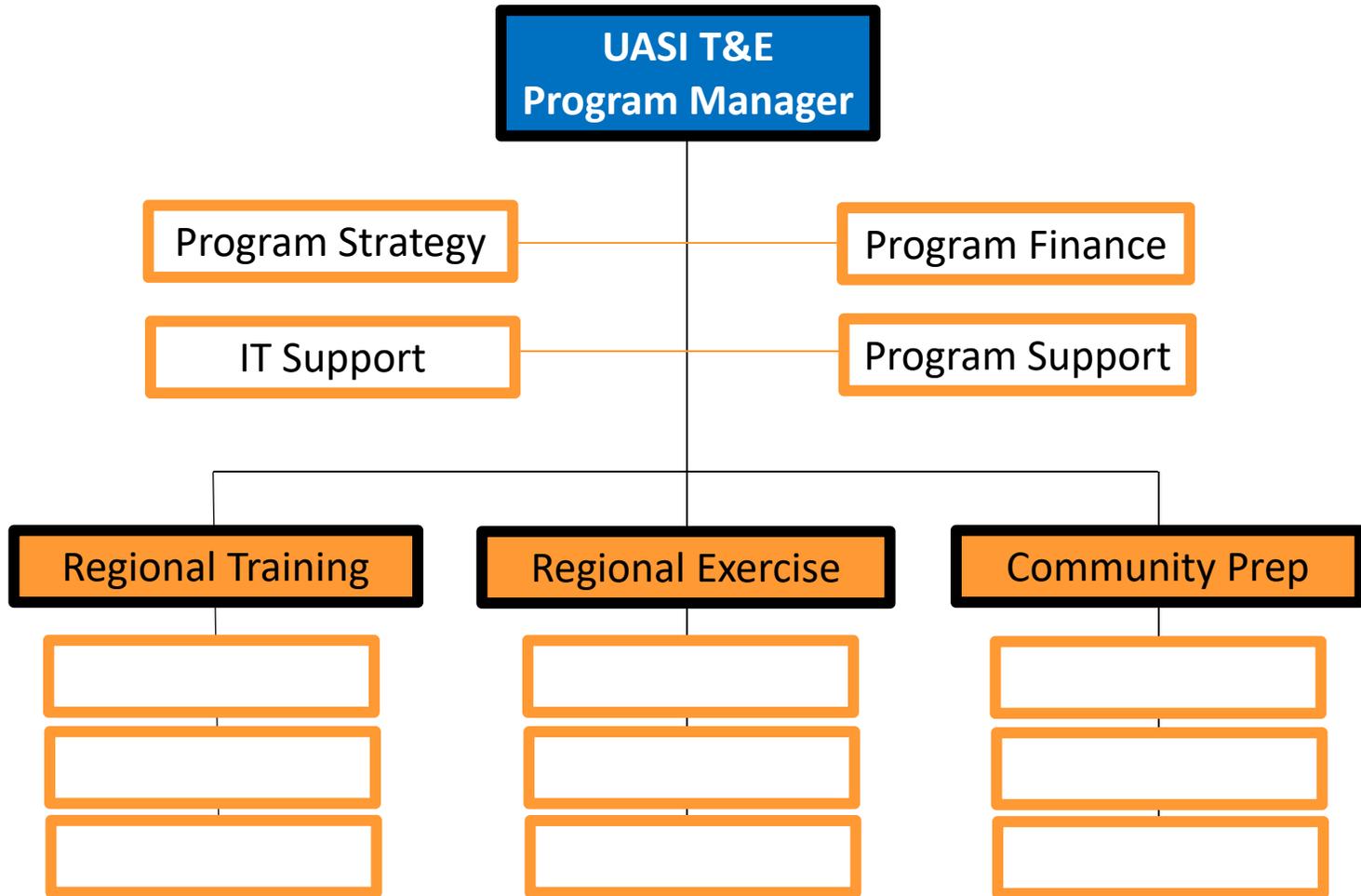
# Regional T&E Workgroup Management & Multi-Year T&E Plan Development Features

- Facilitate Regional T&E Workgroup
- Monthly in-person and virtual attendance
- T&E Workgroup document access
- Provision of subject matter expertise
- Develop and conduct the TEPW
- Multi-Year T&E Plan Development

# Training Features

- Refreshed Training and Exercise website
- Develop TEWG Charter and Workplan
- Leverage "free" courses via the NDPC
- Create a master course catalog
- T&E program master calendar
- Training and Exercise linkage to THIRA and SPR

# Exercise Features

- Quarterly Rolling Summary of T&E outcomes
- Exercise team continuity across all service areas
- Create a regional exercise library
- Create a regional multi-discipline evaluation cadre
- Establish an exercise train-the-trainer program

# Program Management Features

- Promote Regional collaboration
- T&E Program improvement
- Develop program manual
- Status reports and presentations
- Monthly summary report development
- Develop and disseminate an annual report

# FY20 Regional Training & Exercise
## Timeline
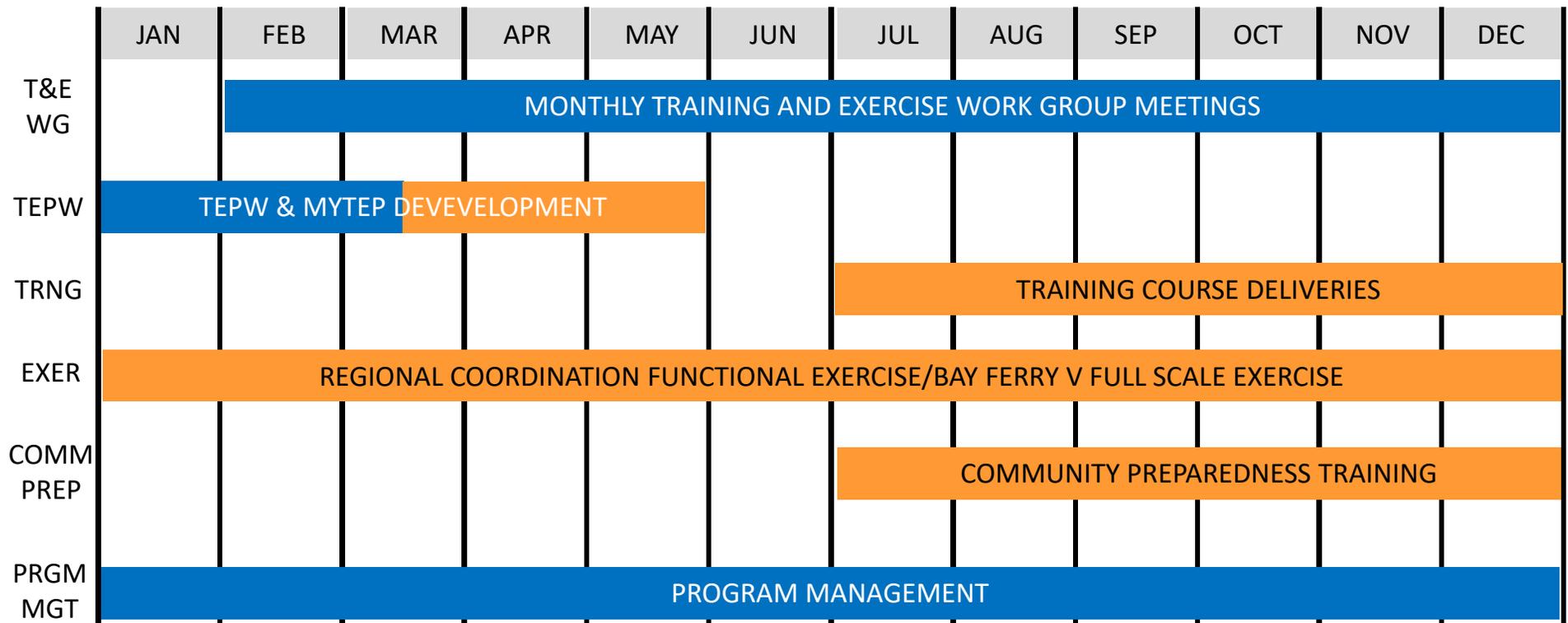
| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| T&E WG | | MONTHLY TRAINING AND EXERCISE WORK GROUP MEETINGS | | | | | | | | | | |
| TEPW | TEPW & MYTEP DEVEVELOPMENT | | | | | | | | | | | |
| TRNG | | | | | | | TRAINING COURSE DELIVERIES | | | | | |
| EXER | REGIONAL COORDINATION FUNCTIONAL EXERCISE/BAY FERRY V FULL SCALE EXERCISE | | | | | | | | | | | |
| COMM PREP | | | | | | | COMMUNITY PREPAREDNESS TRAINING | | | | | |
| PRGM MGT | PROGRAM MANAGEMENT | | | | | | | | | | | |
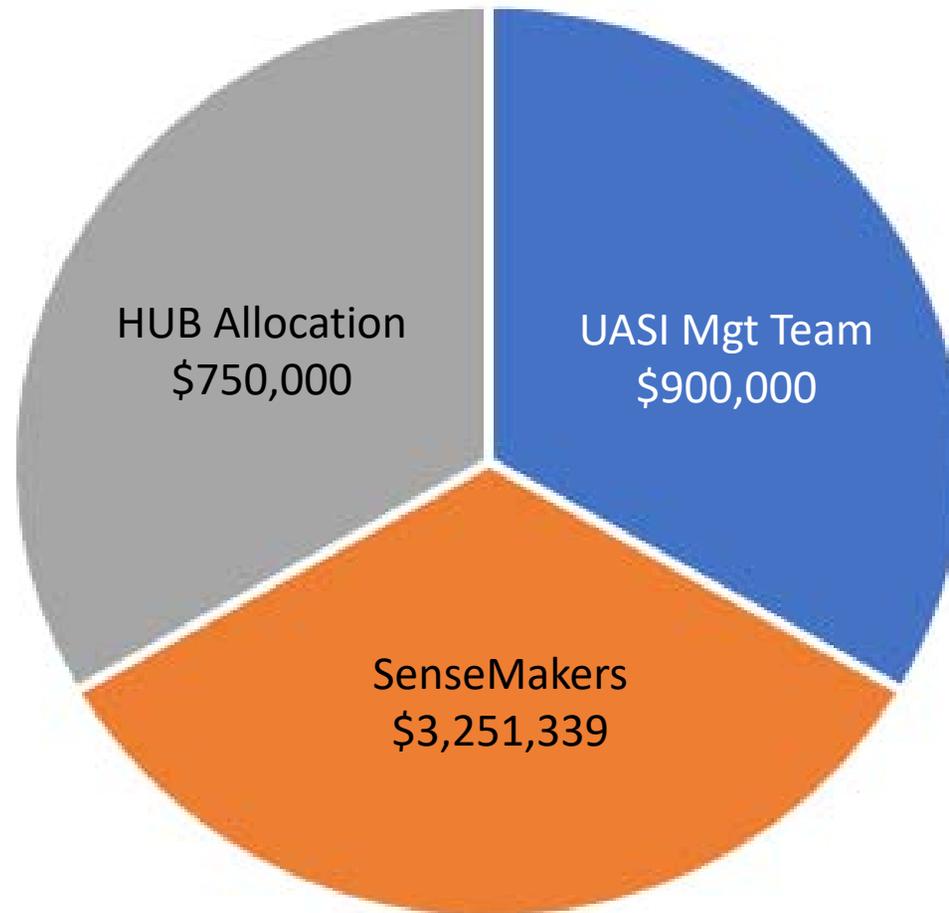
# Thank you!

**To:**  **Bay Area UASI Approval Authority**

**From:  Mike Sena, Director NCRIC/HIDTA**

**Date:  January 9, 2020**

**Re:  Item 05: NCRIC Annual Report and Proposed FY20 Allocation Appendix B**

**Recommended:**

The UASI Management Team recommends funding the NCRIC in the amount of $5,211,473.

**Action or Discussion Items:**

Action

**Discussion/Description:**

**Background:**

The Northern California Regional Intelligence Center is the State designated and federally recognized fusion center for the region. As a multi-jurisdictionally staffed center with many subunits and disciplines, the fusion center is dedicated to the mission of information sharing and providing analytical support to both public safety and private sector critical infrastructure partners within the UASI Area and the Northern Federal District of California. The following information is being provided to give an overview of the fusion center's activities from January 1 to December 31, 2019.

**UASI Funded Programs:**

**Terrorism Liaison Officer Outreach Program - (TLOOP)**

**Duties:** Provide outreach and training to regional partners; review all submitted Suspicious Activities Reports (SAR); follow up on SARs and threats to public safety that require additional information collections and dissemination.

**Personnel Assigned**: Ten (10)

**Follow ups:**  394 of 1217 SARs

**Distribution Lists:**
11,948 NCRIC Members Approved for Law Enforcement Sensitive Information
3,049 NCRIC Members Approved for Official Use Only Information

**Information Technology—Cyber**

**Personnel Assigned**: Four (4)

**Duties:** Cyber team members provide cyber support to local agencies to increase cyber awareness and assistance in the event of a cyber event. NCRIC Cyber Team members currently sit on eight (8) Cyber-related Boards and Committees.

**Activities:** The Cyber Team saw an increase in cyber reporting, support, and regional presentations between 2018 and 2019. The team also participated both nationally and internationally to provide and disseminate information on Ransomware and Election Threats.
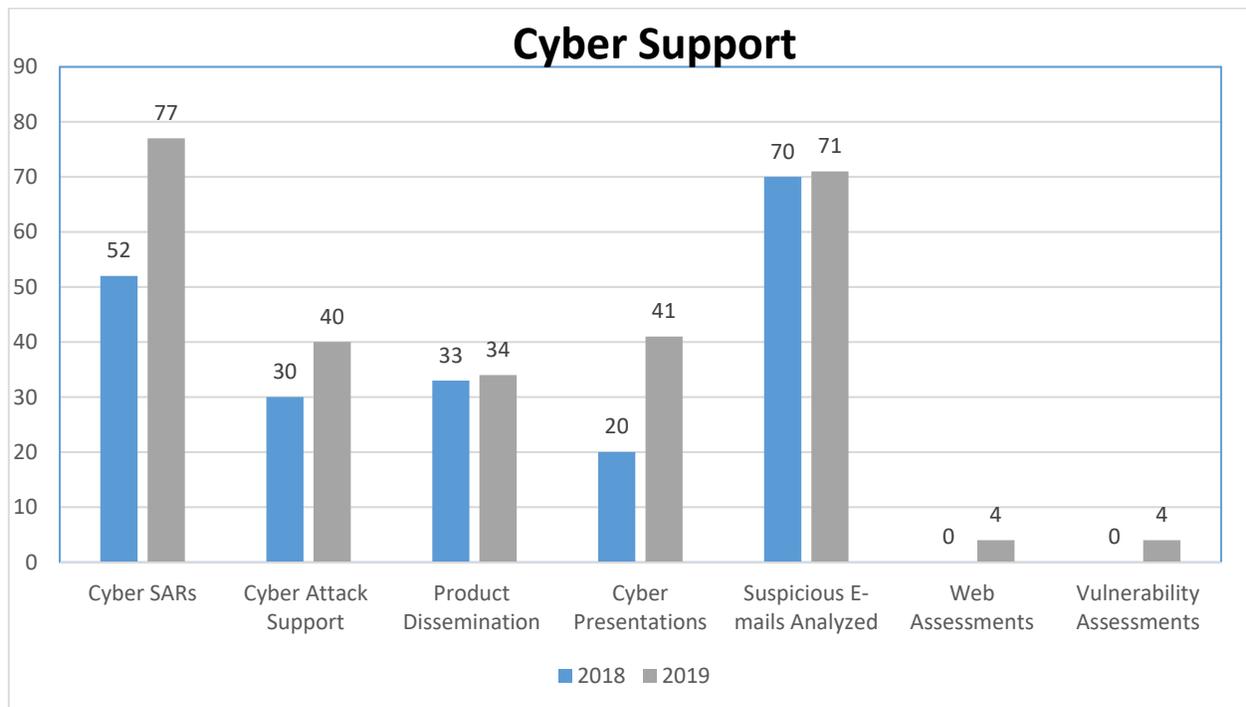


**Digital Forensic Evidence Laboratory**

The NCRIC continues to support the Digital Forensic Evidence Lab (DFEL), which reviews data stored on computers and other portable electronic devices. This work assists in efforts to arrest and convict those engaging in criminal acts.

**Digital Forensic Evidence Lab Cases**
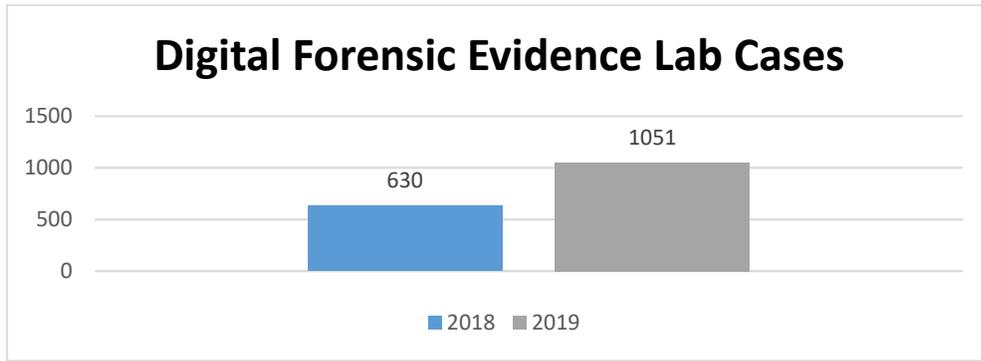


**Risk Management Unit (RMU)**

The RMU is the NCRIC lead for Vulnerability Assessments, Threat Assessments, Special/Large scale Event Support, and the National Special Events Data Call. The NCRIC provides support for events based on the potential risk of criminal activity and provides real-time information sharing and analytical support.

**Personnel Assigned**: Three (3)

**Duties:** Conduct Special Event Threat Assessments (SETA) and Vulnerability Assessments (VAs) along with providing on-site analytical support at Federal SEAR-level special events. Represents the NCRIC as a member of the NFCA Open Source Intelligence Committee and member of the STAS Infrastructure Protection Working Group sub-committee for School Safety VAs.

**Activities:** The team developed a vulnerability assessment guide for non-profit organizations to quickly assess vulnerabilities at their facilities, developed an approved POST certification for School Safety Vas. and made a presentation at both the 2019 National Homeland Security Conference in Phoenix, Arizona and the 2019 National Fusion Center Association Training event in Alexandria, Virginia.

**Federal SEAR Event Requests**
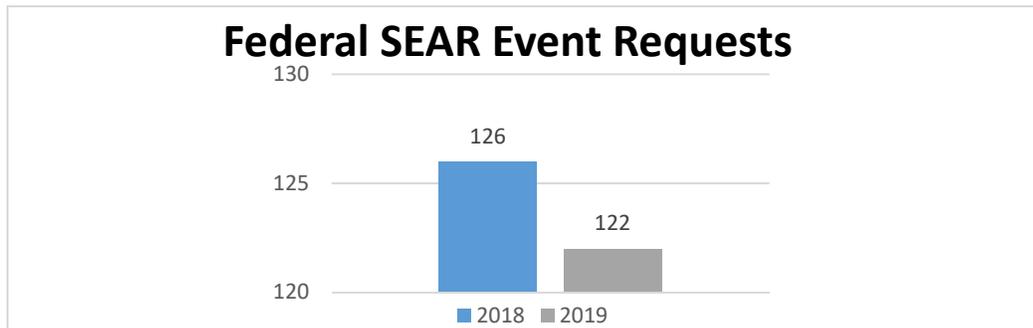


**Private Sector Outreach**

**Personnel Assigned**: Two (2)

**Duties:** Develop/facilitate participation by owners and operators to protect critical infrastructure; provide vetted members with highly valued, sensitive information and intelligence; enhance private sector engagement capabilities.

**Activities:** During 2019, the team participated in the development and deployment of quarterly webinars

which facilitate the sharing of private sector engagements best practices across the National Network of Fusion Centers (NNFC). Additionally, the team played an instrumental role in the development and deployment of the "Threat to Life" reporting capability which is being considered for adoption as a best practice by the NNFC.



**Private Sector Membership**
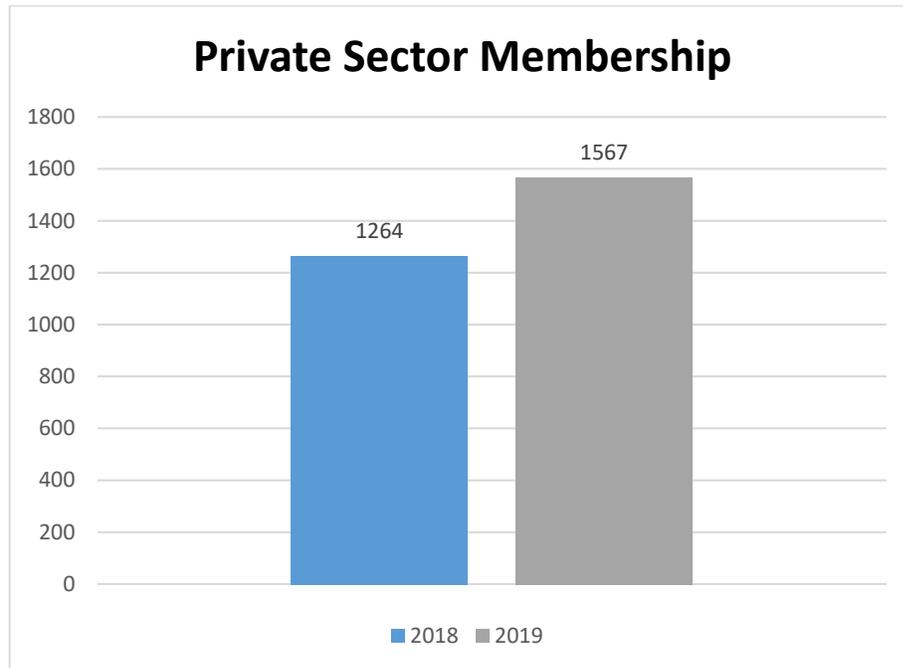
**Analytical Support (NCRIC- AMT, VAT, Priority Crimes, CSU)**

**Personnel Assigned**: Nineteen (19)

**Duties:** Various- Analyze transnational and domestic terrorist organizational trends; provide analytical support for requests for information; analyze Suspicious Activity Reports (SARs), including Threat to Life (TTL) and National Threat Operations Center (NTOC) tips and leads; analyze regional criminal activity; coordinate intelligence sharing, provide investigative support, and manage the Gun-Related Intelligence Program (GRIP) in Santa Clara County.

**Activities:** In 2019, the quantity of Suspicious Activity Reports (SARs) analyzed for deconfliction and determination of a criminal and/or a terrorist nexus increased by 30%. Of the 1217 SARs processed, 38 were FBI NTOC SARs. Case support requests from local law enforcement agencies increased by 262%, due in part to 90 cases opened by the Gun-Related Intelligence Program. The product output for Situational Awareness Products and Partner Update Briefs remained steady. There was a 43% decrease in Information Requests and a 32% decrease in Intel Packet Requests.

## Analytical Support



Chart showing 2018 and 2019 values:
- SAR: 929 (2018), 1217 (2019)
- Situational Awareness Products: 97 (2018), 120 (2019)
- Info Requests: 632 (2018), 307 (2019)
- Intel BG pkg Requests: 381 (2018), 244 (2019)
- Case Support Reqs: 37 (2018), 39 (2019)
- LES/FOUO partner update briefs: 104 (2018), 104 (2019)
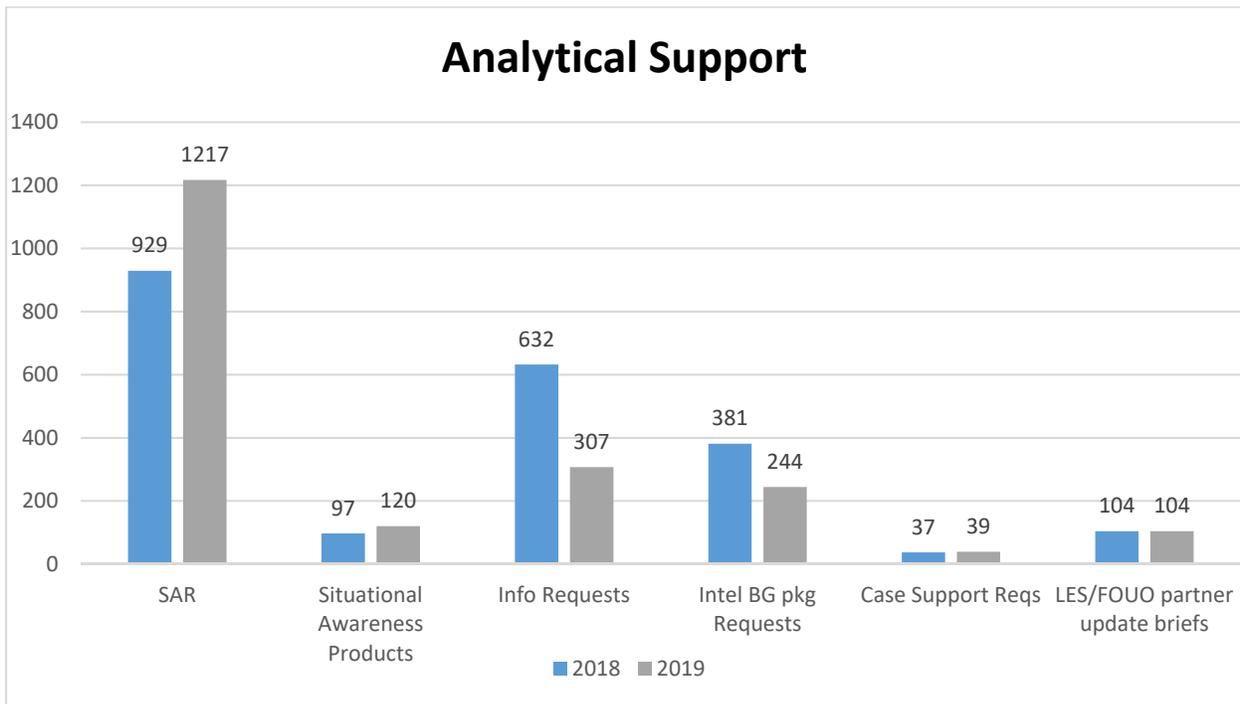
**Training For Calendar Year 2019**

In 2019, the fusion center conducted 57 training classes. These classes related to homeland security, narcotics enforcement, law enforcement officer safety and investigative techniques. Approximately 2,250 students from allied agencies and organizations attended, an increase in 850 students over last year.

**Pilot Projects**

The NCRIC/NCHIDTA participated in and/or chaired several national and local pilot projects in 2019, including:

**FBI National Threat Operations Center (NTOC) Pilot**
In response to the 2018 Stoneman Douglas High School shooting in Parkland, Florida, the FBI restructured the Public Access Line (PAL), a national public tip line, as the National Threat Operations Center (NTOC). Citizens can submit tips and leads to NTOC by calling 1-800-CALLFBI, contacting the local FBI field office or submitting an online tip via https://www.fbi.gov/tips. The FBI has been handling these tips and leads by routing them to the appropriate FBI Field Offices, although in many cases there has been no federal nexus. On 7/22/2019, the NCRIC began participating in a 60-day pilot project in which the tips and leads received by NTOC were sent to the NCRIC via eGuardian when NTOC analysts assessed that the tip or lead fell within the NCRIC area of responsibility. The NTOC pilot program was successful and has been instituted as standard operating procedure.

**Social Media Partnerships**
The NCRIC continues to partner with various Social Media companies (Google, Facebook, Twitter, etc.) to provide information to public safety agencies when a Threat-to-Life is seen on social media. Potential online threats frequently have not been reported to authorities through existing platforms. This NCRIC-led effort is working to improve credible threat identification and coordination in life threatening situations.

Since late 2018, the social media threat to life reporting has successfully contributed to life-saving and law enforcement efforts in dozens of cases.

## FY 20 Proposed Budget: $6,741,199

Planning, $70,000

Training, $150,000

Equip, $292,950

Alameda County TLOs, $255,020

Overtime & Travel, $75,000

Santa Clara County DA, $312,019

San Jose TLOs, $400,327

Consultants, $385,000

Marin County TLOs, $237,395

Contra Costa County TLOs, $267,143

Cyber Staff, $495,607

NCRIC Staff, $2,752,559

Santa Clara County TLOs, $237,937

San Francisco TLOs, $810,242

Legend:
- Equip
- Alameda County TLOs
- Overtime & Travel
- NCRIC Staff
- San Francisco TLOs
- Santa Clara County TLOs
- Cyber Staff
- Contra Costa County TLOs
- Marin County TLOs
- Consultants
- San Jose TLOs
- Training
- Planning
- Santa Clara County DA

**To:** **Bay Area UASI Approval Authority**

**From:** **Brian Rodrigues, NCRIC**

**Date:** **January 09, 2020**

**Re:** **Item 05: Regional Information Sharing System Annual Report and Proposed FY20 Allocation Appendix C1**

**Recommended Funding:**

The Management Team recommends funding in the amount of $500,000.

**Action or Discussion Items:**

Action

**Discussion/Description:**

See PowerPoint slide.

010920 Approval Authority Meeting Agenda Item 05: Regional Information Sharing System Annual Report and Proposed FY20 Allocation Appendix C1

1

# Bay Area UASI

## Regional Information Sharing System and Proposed FY20 Allocation

Brian Rodrigues, NCRIC Assistant Deputy Director

Approval Authority Meeting
Agenda Item 05
January 9, 2020

Appendix C2

# PUBLIC SAFETY INFORMATION SHARING PROGRAM

## Operational and Active

- 100+ Agencies
- 1000+ Active Users
- 50,000+ queries/month
- 100,000,000+ records
- $1.5M/yr (1/3 UASI, 2/3 agencies)
- Region, State (DOJ), and Federal (NDex) partnership
- Used *Every Day* by officers and analysts throughout the region

## More than Maintenance

- Leverage Cloud performance, scalability, reliability: AWS, Azure, and NLETS
- New platforms deployed
- Technology modernized (Java -> HTML5, SQL -> Hadoop)
- Secure and compliant

# Bay Area UASI

## UASI FY20 Sustainment and Proposed Regional Projects

Mary Landers, Regional Grants Manager

Approval Authority Meeting
Agenda Item 05
January 9, 2020

Appendix D

# FY20 Submissions

| | |
|---|---|
| **# of Sustainment Projects Submitted** | **3** |
| # of Regional Projects Submitted | 11 |
| # of Eligible Regional Projects | 10 |
| **Total Requested Sustainment Amount** | **$ 12,142,538** |
| **Total Requested Eligible Regional Project Amount** | **$ 2,015,204** |
| **TOTAL FY 20 SUSTAINMENT & REGIONAL PROJECTS** | **$ 14,157,742** |

# FY 19 vs FY 20 comparisons

| FY 19 Sustainment funding amount awarded | $ 10,124,405 |
|---|---|
| Regional Project funding amount awarded | $ 600,000 |
| **Total FY 19 funding** | **$ 10,724,405** |

| FY 20 Sustainment funding amount recommended | $ 9,862,812 |
|---|---|
| Regional Project recommended funding amount | $ 598,000 |
| **Total FY 20 Proposed funding** | **$ 10,460,812** |

# Bay Area UASI FY20 Sustainment Projects

| Project Name: | **Regional Training and Exercise Program** |
|---|---|
| **Organization:** | Bay Area UASI |
| **Agency/County:** | Management Team and Sensemakers |
| **Project Lead:** | Janell Myhre |
| **Project Request:** | **$4,901,339** |
| **Suggested funding:** | **$4,151,339** |
| **Project Description:** | Administer and fund a training and exercise program to serve all Bay Area UASI jurisdictions. The program will establish and fund an organizational structure to provide and offer a training registration website; work group forums to identify training sessions needed to build capabilities and close planning, response and recovery gaps; ability to support and coordinate with community preparedness organizations to better prepare community members for emergencies and disasters; provide annual regional exercise opportunities; develop and track a multi-year training & exercise plan (MYTEP). |

# Bay Area UASI FY20 Sustainment Projects

| | |
|---|---|
| **Project Name:** | **Fusion Center Project: Information Sharing and Analysis, Infrastructure Protection and Cyber Security** |
| **Organization:** | NCRIC |
| **Agency/County:** | San Mateo County Sheriff's Office |
| **Project Lead:** | Jennifer Gragasin |
| **Project Request:** | **$6,741,199** |
| **Suggested funding:** | **$5,211,473** |
| **Project Description:** | The NCRIC has developed a program for the prevention of threats, terrorism & other crimes thru a coordinated effort w/ support of fed, SLTT,PS,HS & private sector CI/KR partners. The NCRIC supports the implementation of risk-based, info-driven, prevention, protection, response recovery programs; efforts to address immediate & emerging threat-related circumstances & events. Planning deliverables are to maintain & expand NCRIC & regional info sharing systems projects. The project coordinates the access to data required for completion of the TSC RFI process thru HSIN. The planning project provides the necessary data to enhance the record holdings for known or Suspected Terrorists & supporting analysts' intel & inv products req'd by the FBI-JTTF. |

# Bay Area UASI FY20 Sustainment Projects

| | |
|---|---|
| **Project Name:** | **Regional Law Enforcement Data Unification, Sharing, Analysis** |
| **Organization:** | San Mateo County Sheriff's Office |
| **Agency/County:** | NCRIC |
| **Project Lead:** | Brian Rodrigues |
| **Project Request:** | **$500,000** |
| **Suggested funding:** | **$500,000** |
| **Project Description:** | For agencies that elect to participate: aggregate the Law Enforcement and/or Public Safety data - arrests, investigations, citations, calls for service, etc - scattered across 100+ data sources and jurisdictions, into unified platforms for consolidated regional access and analysis. Investigators, Crime Analysts, and other users will gain meaningful access to broad multi-jurisdictional records, through appropriately secured and audited channels, with effective results optimized through capabilities such as geospatial filters, link analysis, and identity resolution. |

# Bay Area UASI FY20 Regional Projects

| | |
|---|---|
| **Project Name:** | **Regional Voice and Broadband Data Communications, Planning, Governance** |
| **Organization:** | Bay Area Regional Interoperable Communications Systems Authority (BayRICS) |
| **Agency/County:** | Alameda |
| **Project Lead:** | Corey Reynolds |
| **Project Request:** | **$59,000** |
| **Recommended funding:** | **$59,000** |
| **Project Description:** | This project will continue BayRICS' successful public safety communications planning and governance efforts, required to ensure regional interoperability of public safety voice and data communications. Funding will be used to manage the BayRICS Radio Operators Advisory Group and its associated working groups*, to coordinate the deployment of the FirstNet network, and to develop and implement regional policies and procedures for coordinated communications. This proposal represents a $10,000 decrease from FY19, reflecting BayRICS commitment to reduce reliance on grant funding for operational expenses.<br><br>*Note: To maximize efficiency, the Radio Operators Advisory group will continue to serve as the UASI Interoperable Communications workgroup. |

| Project Name: | Regional San Francisco Bay Area Mass Notification System Users Conference |
|---|---|
| Organization: | Alameda County Sheriff's Office |
| Agency/County: | Alameda |
| Project Lead: | Paul Hess |
| Project Request: | $60,000 |
| Suggested funding: | $100,000 |
| Project Description: | This project is being submitted on behalf of the San Francisco Bay Area UASI Regional Public Information and Warning Workgroup. Our intent is to hold a two day conference in the SF Bay Area that would bring in topical speakers who have used their Mass Notification Systems for large events and emergency incidents. We would also provide time for all counties and cities to share information that can benefit the administrators of other systems. That would be followed by presentations that would benefit the users of all MNS Software System Providers in writing and delivering better Alert and Warning messages to the public. |

# Bay Area UASI FY20 Regional Projects

| Project Name: | Regional WebEOC Fusion Project |
|---|---|
| Organization: | Marin County Sheriff's OES |
| Agency/County: | Marin |
| Project Lead: | Chris Reilly |
| Project Request: | $80,000 |
| Suggested funding: | $40,000 |
| Project Description: | Building upon efforts to date and Yellow Command After Action Report improvement items, this project will establish effective fusion between Operational Area instances of WebEOC and the State's CalEOC system. It will define and establish functioning common boards to be used for sharing essential elements of information and provide training to WebEOC/CalEOC users within all 12 Operational Areas. |

| Project Name: | Interoperable Communications / Trunking Equipment |
|---|---|
| Organization: | Solano County OES |
| Agency/County: | Solano |
| Project Lead: | Don Ryan |
| Project Request: | $966,504 |
| Suggested funding: | $100,000 |
| Project Description: | Enhance an existing trunked radio system in Solano and create a new southern county trunked radio system to provide interoperable coverage throughout the Operational Area. |

| Project Name: | Cyber Resilience Training |
|---|---|
| Organization: | San Francisco Dept. of Technology |
| Agency/County: | City/County of San Francisco |
| Project Lead: | Mohideenbasha Kamroonbanu |
| Project Request: | $100,000 |
| Suggested funding: | $100,000 |
| Project Description: | Cyber Resilience training for BC, IT DR, EM and Cybersecurity Professionals is an information-packed four-day course, with an exam and two levels of certification, that provides an understanding of how to address cyber disruptions within a business continuity/emergency management framework. Training Participants will discover how business continuity and cybersecurity must integrate within every organization, using the five elements of cyber resilience: prepare/identify, protect, detect, respond, and recover. Number of participants for each class vary between 8 to 25. We are planning to hold two to three class. |

# Bay Area UASI FY20 Regional Projects

| Project Name: | Threat Monitoring, Threat Hunting and Alerting |
|---|---|
| Organization: | San Francisco Public Utilities Commission |
| Agency/County: | City/County of San Francisco |
| Project Lead: | Ramsey Williams |
| Project Request: | $300,000 |
| Suggested funding: | $0 |
| Project Description: | The project is to 1) deploy a Operational Technology focused Cybersecurity Monitoring Solution 2) Subscribe to a managed Threat Hunting service leveraging the solution 3) Build out Standard Operating Procedures to escalate and/or respond to any identified threats. |

# Bay Area UASI FY20 Regional Projects

| Project Name: | Regional Virtual Security Operations Center (V-SOC) |
|---|---|
| Organization: | San Mateo County Information Services Division |
| Agency/County: | San Mateo County |
| Project Lead: | Sean Thakkar |
| Project Request: | $249,900 |
| Suggested funding: | $0 |
| Project Description: | Governments are seen as a higher value target with limited capabilities to fend off the attacks.  You cannot fend off the attacks if you cannot see /detect them.<br><br>Security Operations Centers (SOC) have proven useful in detecting compromises in counties and cities that have established one. A SOC digests logs from different sources and can flag abnormal behaviors. Such behaviors are analyzed for interventions.  Counties/Cities logs can be processed in a way that protects their specified confidentiality.<br><br>Governments take months and years to discover breaches in their environment per the widely respected ?2019 Data Breaches Investigations Report? by Verizon. |

| Project Name: | Regional Cybersecurity Governance Model |
| --- | --- |
| Organization: | San Mateo County Information Services Division |
| Agency/County: | San Mateo County |
| Project Lead: | Sean Thakkar |
| Project Request: | $138,800 |
| Suggested funding: | $138,000 |
| Project Description: | This project is cost-beneficial and scalable to jurisdictions large and small. Jurisdictions can pool resources for higher purchase power for training, insurance, software and services. Jurisdictions can provide mutual aid in incident response.<br><br>The governance model is financially sustainable. Jurisdictions participate per their needs and contribute to the operations per their abilities. Jurisdictions will not need to wait for federal government agencies funding to take actions.<br><br>Lastly, the governance model allows agile development and adoption of many regional capabilities. For example, training can be coordinated each year per the particular needs of the jurisdictions in the year. |

# Bay Area UASI FY20 Regional Projects

| Project Name: | Medical/Health Responder Resilience |
|---|---|
| Organization: | Alameda County Emergency Medical Services Agency |
| Agency/County: | Alameda County |
| Project Lead: | Elsie Kusel |
| Project Request: | $16,000 |
| Suggested funding: | $16,000 |
| Project Description: | This project will renew the license for the web-based resilience program `Anticipate, Plan and Deter` for the region and provide for training and support through the end of an extended performance period. |

# Bay Area UASI FY20 Regional Projects

| | |
|---|---|
| **Project Name:** | **Bay Area Type III Incident Management Team Symposium** |
| **Organization:** | Marin County Fire Dept. |
| **Agency/County:** | Marin County |
| **Project Lead:** | Graham Groneman |
| **Project Request:** | **$45,000** |
| **Suggested funding:** | **$45,000** |
| **Project Description:** | The Marin County Fire Department is requesting funding to host a Bay Area Type III all hazard Incident Management Team Symposium. There are 3 Type III Incident management teams in the bay area, one in the north bay hub, one in the East Bay hub and one in the South Bay hub. This symposium will allow all the north bay teams a opportunity to collaborate and organize. This symposium will be scheduled in conjunction with O-305 and S-420 classes that have also been requested on another grant application. The Symposium will have speakers, case reviews and section break outs. |

# Bay Area UASI FY20 Regional Projects

| Project Name: | Regional Water Rescue Training Equipment Cache & Trailer |
|---|---|
| Organization: | Marin County Fire Dept. |
| Agency/County: | Marin County |
| Project Lead: | Graham Groneman |
| Project Request: | $19,000 |
| Suggested funding: | **Ineligible Project** |
| Project Description: | The Marin County Fire Department on behalf of the Region requests an Open Water Basic equipment training compliment to support the ongoing training hosted in the region. This includes rescue boards, cans, tubes, fins, PFDs and wet suites for students that attend the regional hosted water rescue training classes. |

# QUESTIONS?

**To:** **Bay Area UASI Approval Authority**

**From:** **Amy Ramirez, Regional Project Manager – Risk Management**

**Date:** **January 9, 2020**

**Re:** **Item 06: Risk Management Kick-off 2020**

**Staff Recommendation:**

No recommendation

**Action or Discussion Items:**

Discussion

**Discussion:**

The Management Team has completed its planning for the 2020 risk management cycle in partnership with jurisdictions, the NCRIC, and Haystax. Please see Appendix B for the outline of the Risk Management Program schedule for CY 2020.

*Risk Management 2020 Program Highlights*

- The UASI Management Team will hold a Risk Management kick-off webinar for stakeholders.
- Jurisdictions will conduct their annual asset review to fill information gaps and use new templates in Cal COP to add Special Events.
- The NCRIC will update the Hospital Sub-sector in Cal COP.

*THIRA / SPR 2020 Highlights*

The FEMA requirement for 2020 is focused on the capability assessment, known as the Stakeholder Preparedness Review (SPR), portion of the process. An update to the Threat & Hazard Identification and Risk Assessment (THIRA) will not be required until 2022.

The UASI Management Team will:

- Hold a workshop in May to conduct the capability assessment portion of the THIRA / SPR for the Core Capabilities in the Prevention and Protection Mission Areas.
- Gather input from the UASI Regional Workgroups in July and August.
- Present the completed THIRA and SPR at the November Approval Authority in preparation for submission to DHS/FEMA by December 31st.

***Background:***

Approval Authority Bylaws specify that the Approval Authority must use a risk and capability-based methodology to apply for and allocate grant funds. This is consistent with guidance from DHS that states that all levels of government must establish a foundation to justify and guide preparedness activities and investments. In addition, DHS requires all grantees to produce a Threat Hazard Identifications and Risk Assessment (THIRA) and a Stakeholder Preparedness Review (SPR).

# Bay Area UASI

# Risk Management Kick-Off 2020

Amy Ramirez, Project Manager

Approval Authority Meeting
Agenda Item 06
January 9, 2020

Appendix A

# PROGRAM HIGHLIGHTS

- **Kickoff Webinar: Jan. 30**

- **Cal COP\* user-training: Feb. 26**

- **Asset updates/Special Event entries: Apr 30 deadline**

**\* Cal COP: California Common Operating Picture for threat awareness**

# THIRA / SPR Cycle

| YEAR | CORE CAPABILITY REQUIREMENTS |
|------|------------------------------|
| 2018 | Response<br>Recovery<br>Cross-cutting |
| 2019 | Prevent<br>Protect<br>Mitigate (THIRA only)<br>Response, Recovery, Cross-cutting |
| 2020 | Mitigate (SPR only)<br>Prevent, Protect (SPR only)<br>Response, Recovery, Cross-cutting (SPR only) |

# THIRA / SPR *

- **No scenario edits**

- **Workshop on Prevention & Protection (May)**

- **UASI Workgroup input  (July & August)**

- **Present to AA (November)**

- **Submit to FEMA (December)**

**\* THIRA: Threat & Hazard Identification & Risk Assessment
SPR: Stakeholder Preparedness Review**

**Bay Area UASI Risk Management Program**
January 2020

Each year the Bay Area UASI Risk Management Program includes data input, validation, assessments, and reporting to support decision-making and guide grant spending. A risk and capability-based methodology to allocate UASI funds is required by the Department of Homeland Security and is mandated in the Bay Area UASI Approval Authority Bylaws.

This year, there are three steps to our risk management process:

**1. Risk Management Kick-Off Meeting**

WHO:    All Bay Area UASI regional and subject matter experts involved in risk management

WHAT:   The Bay Area UASI Management Team provides an overview of the risk management process for the grant year, the annual approach to the Threat and Hazard Identification and Risk Assessment (THIRA) and Stakeholder Preparedness Review (SPR); the California Common Operating Picture (Cal COP) for Threat Awareness; as well as Protected Critical Infrastructure Information (PCII) certification requirements.

WHEN:   January 30, 2020, 10:00 am – 11:00 am

WHERE:  WebEx with the option to attend in person at the Bay Area UASI Office, 711 Van Ness Ave, Ste. 420, San Francisco, CA  94102

**2. Asset Updates and Special Event Data Collection**

WHO:    OA and NCIRC subject matter experts who are Cal COP trained and PCII certified.

WHAT:   Subject matter experts enter data on special events and review and update asset data based, as needed, in Cal COP.  The NCRIC reviews and validates the information.

WHEN:   January 31, 2020 – April 30, 2020

WHERE:  In Cal COP

*Haystax will offer an in-person, general user training for Cal COP on February 26th.*

**3. SPR Workshop**

WHO:    Regional subject matter experts for the Prevention and Protection Core Capability Mission Areas.

WHAT:  Forum to collect input on the capability assessment portion of the THIRA / SPR to meet the 2020 FEMA requirements. The final document will be presented to the Approval Authority in November 2020.

WHEN:  May 21, 2020, 9:00 am - Noon

WHERE: Alameda County Sheriff's Office OES, 4985 Broder Blvd, Dublin CA 94568

> For more information, please contact Amy Ramirez
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**To:**     **Bay Area UASI Approval Authority**

**From:**  **Tristan Levardo, CFO**

**Date:**   **January 9, 2020**

**Re:**     **Item 07: FY2017 UASI Spending Report**

**Staff Recommendation:**

Information or possible action

**Action or Discussion Item:**

Information or possible action

**Summary**

The sub-recipient performance period for FY2017 UASI grant is November 1, 2017 – December 31, 2018, with some projects receiving extensions up to June 30, 2019. These spending figures are considered final except for the Management Team. The FY17 grant closeout process has been initiated, and its completion is expected to be on track for the final report in May 2020.

**Financial Information:**

| Jurisdiction | Budget | Spending | Spent % | Committed |
|---|---|---|---|---|
| Management Team | 2,996,479 | 2,899,930 | 97% | 96,549 |
| Alameda | 5,333,711 | 5,062,923 | 95% | 270,788 |
| Contra Costa | 839,820 | 836,535 | 99% | 3,285 |
| Hayward | 85,138 | 85,138 | 100% | |
| Marin | 266,775 | 266,775 | 100% | |
| Marina | 296,547 | 296,171 | 99% | 376 |
| NCRIC | 4,729,066 | 4,727,459 | 99% | 1,607 |
| Oakland | 1,192,509 | 1,191,344 | 99% | 1,165 |
| Petaluma | 31,971 | 31,971 | 100% | |

| | | | | |
|---|---|---|---|---|
| San Francisco | 2,864,207 | 2,853,747 | 99% | 10,460 |
| San Jose | 1,377,130 | 1,266,030 | 98% | 111,100 |
| San Mateo | 879,348 | 860,409 | 98% | 18,939 |
| San Ramon | 148,610 | 148,610 | 100% | |
| Santa Clara | 832,859 | 817,263 | 98% | 15,596 |
| Solano | 104,590 | 104,590 | 100% | |
| Sonoma | 256,225 | 256,225 | 100% | |
| Sunnyvale | 110,567 | 110,567 | 100% | |
| Walnut Creek | 83,248 | 83,248 | 100% | |
| Total | 22,428,800 | 21,898,935 | 98% | 529,865 |

## SPENT %

| | |
|---|---|
| Management Team | 97% |
| Alameda | 95% |
| Contra Costa | 100% |
| Hayward | 100% |
| Marin | 100% |
| Marina | 100% |
| NCRIC | 100% |
| Oakland | 100% |
| Petaluma | 100% |
| San Francisco | 100% |
| San Jose | 92% |
| San Mateo | 98% |
| San Ramon | 100% |
| Santa Clara | 98% |
| Solano | 100% |
| Sonoma | 100% |
| Sunnyvale | 100% |
| Walnut Creek | 100% |