



**Approval Authority Meeting**  
Thursday, November 8, 2018  
10:00 a.m.

**Location**

Alameda County Sheriff's Office OES  
4985 Broder Blvd., Dublin, CA 94568  
**OES Assembly Room**

**Agenda**

**1. CALL TO ORDER**  
**ROLL CALL**

UASI Acting Chair	Rich Lucia, County of Alameda
Member	Mary Ellen Carroll, City and County of San Francisco
Member	Jeanine Nicholson, City and County of San Francisco
Member	Toshia Shavies Marshall, City of Oakland
Member	Ray Riordan, City of San Jose
Member	Dana Reed, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Gerry Malais, County of Monterey
Member	Mark Robbins, County of San Mateo
Member	Brendan Kearney, County of Sonoma

General Manager Craig Dziedzic

**2. APPROVAL OF THE MINUTES (Action)**

Discussion and possible action to approve the draft minutes from the August 9, 2018 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from August 9, 2018.) 5 mins*

**3. GENERAL MANAGER'S REPORT**

General Manager Craig Dziedzic will present the General Manager's Report:

- (a) Notification of Subrecipient Award FY18 (Discussion)
- (b) UASI FY 2019 Project Proposal Process (Discussion)
- (c) Approval of the 2019 Calendar for Approval Authority Meetings (Action)
- (d) Management Team Update (Discussion)
- (e) Management Team Tracking Tool and Future Agenda Items (Discussion)

*(Documents for this item are a report, the 2019 Approval Authority Meeting Calendar, and the Tracking Tool from Craig Dziedzic.) 5 mins*

- 4. REGIONAL TRAINING AND EXERCISE PROGRAM UPDATE (Action)**  
General Manager Craig Dziedzic will provide an update on the status of the approval process for the FY 18 UASI Regional Training and Exercise MOU between the Bay Area UASI and Alameda County. *(Document for this item is a report from Craig Dziedzic.) 5 mins*
- 5. PREVENTING VIOLENT EXTREMISM STATEWIDE PROGRAM UPDATE (Discussion)**  
Cal OES Preventing Violent Extremism Coordinator Kyle Trambley will provide an update of the Preventing Violent Extremism Statewide Program. *(Documents for this item are a report and a PowerPoint from Kyle Trambley.) 5 mins*
- 6. FY19 UASI HUB FUNDING FORMULA (Action)**  
Project Manager Amy Ramirez will present the Bay Area UASI FY19 Hub Funding Formula. *(Document for this item is a report from Amy Ramirez.) 5 mins*
- 7. THIRA/SPR UPDATE (Action)**  
Project Manager Amy Ramirez will present updates of the Threat & Hazard Identification & Risk Assessment (THIRA) and the Stakeholder Preparedness Review (SPR). *(Documents for this item are a report and a PowerPoint from Amy Ramirez.) 5 mins*
- 8. PUBLIC SAFETY INFORMATION SHARING UPDATE (Discussion)**  
Project Manager Mikyung Kim-Molina and NCRIC Assistant Deputy Director Brian Rodrigues will present updates of public safety information sharing efforts in the Bay Area region. *(Documents for this item are a report and a PowerPoint from Mikyung Kim-Molina and Brian Rodrigues.) 5 mins*
- 9. CRITICAL TRANSPORTATION CAPABILITY BUILDING PROJECT (Discussion)**  
Project Manager Corinne Bartshire will present highlights of the Critical Transportation Capability Building project which will kick off in January 2019. *(Documents for this item are a report and a PowerPoint from Corinne Bartshire.) 5 mins*
- 10. REGIONAL WEBEOC STANDARDIZATION PROJECT UPDATE (Discussion)**  
Project Manager Corinne Bartshire and Woody Baker-Cohn will present an update on the progress of the regional WebEOC standardization project. *(Documents for this item are a report and a PowerPoint from Corinne Bartshire and Woody Baker-Cohn.) 5 mins*
- 11. BAYRICS JPA QUARTERLY REPORT (Discussion)**  
BayRICS General Manager Corey Reynolds will provide a quarterly report of the activities of the BayRICS JPA. *(Documents for this item are a report and a PowerPoint from Corey Reynolds.) 5 mins*
- 12. FY16 UASI SPENDING REPORT (Discussion)**  
Chief Financial Officer Tristan Levarado will present the FY16 spending report for the Bay Area UASI. *(Document for this item is a report from Tristan Levarado.) 5 mins*
- 13. ANNOUNCEMENTS-GOOD OF THE ORDER**
- 14. GENERAL PUBLIC COMMENT**

Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

## **15. ADJOURNMENT**

**If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.**

### **Public Participation:**

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.
- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker.

Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

### **Disability Access**

**The Bay Area UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.**

**In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI Administrative Assistant, at least 24 hours prior to the meeting, at (415) 353-5223.**



**Bay Area UASI Program  
Approval Authority Meeting  
Thursday, August 9, 2018  
10:00 AM**

**LOCATION**

Alameda County Sheriff's Office OES  
4985 Broder Blvd., Dublin, CA 94568  
**OES Assembly Room**

**REGULAR MEETING MINUTES  
DRAFT**

**1. Roll Call**

UASI Chair Anne Kronenberg called the meeting to order at 10:02 AM and General Manager Craig Dziejcz subsequently took the roll. Chair Anne Kronenberg and Vice Chair Rich Lucia were present. Members Jeanine Nicholson, Toshia Shavies Marshall, Ray Riordan, Dana Reed, Gerry Malais, Mark Robbins, and Brendan Kearney were present. Members Mike Casten and Bob Doyle were absent, but their alternates, respectively, Chris Simmons and Dave Augustus were present.

**2. Approval of the Minutes**

Chair Kronenberg asked for any comments or questions concerning the minutes from the June 14, 2018 meeting. Seeing none, she requested a motion to approve the minutes.

**Motion:** Approve the minutes from the June 14, 2018 Approval Authority Meeting.

**Moved:** Member Malais                      **Seconded:** Member Marshall

**Vote:** The motion was passed unanimously.

**3. General Manager's Report**

(a) 2018 National Homeland Security Conference

General Manager Craig Dziejdzic presented to the Board highlights of the 2018 Homeland Security Conference. During the week-long conference, participants observed a 9/11 memorial ceremony, heard from several keynote speakers, and attended dozens of presentations - three of which were presented by members of the Bay Area UASI.

(b) Management Team Update

General Manager Craig Dziejdzic presented a status update on the vacancy for the Communications and Technologies Project Manager position, which was previously held by Corey Reynolds.

(c) Management Team Tracking Tool and Future Agenda Items

There were no additions to the tracking tool.

One member of the public made a comment.

**4. Preventing Violent Extremism Statewide Program Update**

This agenda item has been postponed to a later date.

**5. Urban Shield 2018 Planning Update**

Incident Commander Captain Pace Stokes and Regional Program Manager Corinne Bartshire presented exercise planning highlights for Urban Shield 2018. Captain Stokes discussed exercise scenarios, updates to goals, and the introduction of a citizen preparedness component to the exercise. Ms. Bartshire discussed updates to the Yellow Command and Care and Shelter capability building components of the exercise.

Two members of the Board made comments. One member of the public made a comment.

**6. Care and Shelter Capability Building Progress Update**

Project Manager Corinne Bartshire presented to the Board updates on care and shelter capability building efforts in the Bay Area region. Key accomplishments include, but are not limited to, the evaluation and guidance of care and shelter plans, established roles and responsibilities for local government, and regional collaboration and sharing of best practices.

One member of the Board made a comment.

**7. UASI Technical Assistance Program Progress Update**

Regional Program Manager Janell Myhre presented an update on the Bay Area UASI Technical Assistance program. Ms. Myhre highlighted positive feedback about the program and discussed future plans to expand program offerings into areas of cyber resiliency, situational awareness, and fiscal best practices.

One member of the public made a comment.

**8. BayRICS JPA Quarterly Report**

BayRICS General Manager Barry Fraser provided a quarterly report of the strategic activities, progress, and future goals of the BayRICS Authority. Mr. Fraser also discussed his retirement and the transition to new BayRICS General Manager Corey Reynolds.

One member of the Board made a comment. One member of the public made a comment.

**9. UASI Travel Expenditures**

Chief Financial Officer Tristan Levardo reported the travel expenses by the Bay Area UASI for the period of January 1, 2018 through July 31, 2018.

**10. Announcements – Good of the Order**

Chair Anne Kronenberg announced her retirement and departure from the San Francisco Department of Emergency Management. Chair Kronenberg offered praise to the Bay Area UASI and its Approval Authority members who have created positive change to the region over the last eight years.

Vice Chair Lucia and Members Riordan, Reed, Malais, Flamm, and Marshall each shared their appreciation for Chair Kronenberg's leadership and public service.

**11. General Public Comment**

One member of the public made a comment.

**12. Adjournment**

The meeting adjourned at 11:00 AM



**To: Bay Area UASI Approval Authority**

**From: Craig Dzedzic, General Manager**

**Date: November 8, 2018**

**Re: Item 3: General Manager's Report**

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**Recommendations:**

Staff recommends approving the 2019 Calendar of Approval Authority Meetings

**Action or Discussion Items:**

- (a) Notification of Subrecipient Award FY 18 (Discussion Only)
- (b) UASI FY 2019 Project Proposal Process (Discussion Only)
- (c) Approval of the 2019 Calendar for Approval Authority Meetings (Action)
- (d) Management Team Update (Discussion Only)
- (e) Management Team Tracking Tool and Future Agenda Items (Discussion Only)

**Discussion/Description:**

**(a) Notification of Subrecipient Award FY 2018**

On October 1, 2018, Cal OES notified the Bay Area UASI of its approval of our FY 2018 Homeland Security Grant Program award in the amount of \$22,742,500. The Management Team also received the California Supplement to the Federal Notice of Funding Opportunity for the FY 2018 Homeland Security Grant Program. The Management Team has sent all FY2018 MOUs to the grant subrecipients who may begin to incur expenses after the execution of the MOU.

**(b) UASI FY2019 Project Proposal Process (Discussion Only)**

The online FY 2019 project proposal submissions began on September 21, 2018 and closed on October 12, 2018. The Management Team received a total of 159 applications amounting to approximately \$31,950,349 which is \$8,507,849 more than the anticipated funding allocation of \$23,442,500.

The proposals are currently being reviewed by the Management Team for fiscal and programmatic compliance.

Approval Members will have an opportunity to review their jurisdiction's proposals from November 13-30, 2018 to ensure consistency with their own operational area and/or core city priorities.

On October 29, 2018, BAUASI Regional Program Manager Janell Myhre sent an email to the Approval Authority Members requesting confirmation of their respective Hub Voting members by November 16, 2018. She will be coordinating the upcoming Hub regional proposal prioritization meetings as follows:

- |                  |              |  |
|------------------|--------------|--|
| 1. North Bay Hub | Jan. 9, 2019 | Marin County Sheriff's OES                     |
| 2. South Bay Hub | Jan.11, 2019 | Santa Clara County Sheriff's Office Auditorium |
| 3. East Bay Hub  | Jan.14, 2019 | Alameda County Sheriff's OES                   |
| 4. West Bay Hub  | Jan.23, 2019 | CCSF EOC                                       |

**(c) Approval of the 2019 Calendar for Approval Authority Meetings**

For the 2019 calendar year, the time/place of Approval Authority meetings are scheduled to occur at 10:00 AM at the Alameda Sherriff's Office OES on the second Thursday of the month except for February, April, July, September, October, and December.

Attached as Appendix A is the 2019 Schedule of Approval Authority Meetings.

**(d) Management Team Update**

The application period for the Communications/Technologies Project Manager position has closed and we are currently in the selection process. Also, Assistant General Manager Catherine Spaulding has left the Management Team and accepted a new position with the San Francisco Public Utilities Commission.

**(e) Management Team Tracking Tool and Future Agenda Items**

Attached as Appendix B is the Management Team Tracking Tool. Approval Authority members may submit future agenda items at any time to the General Manager.



## 2019 Approval Authority Meeting Schedule

**Location:**

Alameda County Sheriff's Office OES  
4985 Broder Blvd.  
Dublin, CA 94568

**Meeting Time:**

10:00 AM  
Second Thursday of the Month

<b>January 10, 2019</b>
<i>February 14, 2019 – No Meeting</i>
<b>March 14, 2019</b>
<i>April 11, 2019 – No Meeting</i>
<b>May 9, 2019</b>
<i>June 13, 2019 – No Meeting</i>
<b>July 11, 2019</b>
<b>August 8, 2019</b>
<i>September 12, 2019 – No Meeting</i>
<i>October 10, 2019 – No Meeting</i>
<b>November 14, 2019</b>
<i>December 12, 2019 – No Meeting</i>

# UASI Approval Authority and Management Team Tracking Tool

November 8, 2018 Approval Authority Meeting

#	Name	Who	Date Assigned	Due Date	Status / Comments
1	Election of UASI Approval Authority Officers	Craig Dziedzic	5/22/18	1/10/19	
2	FY19 Regional Project Proposals and Annual Reports	Janell Myhre	5/22/18	1/10/19	
3	Annual Risk Management Program Kick Off	Amy Ramirez	5/22/18	1/10/19	
4	Urban Shield After Action Report	Shawn Sexton, Corinne Bartshire	5/16/17	3/14/19	
5	Vigilant Guardian After Action Report	Phil White	1/17/17	3/14/19	
6	CBRNE Program Update	Phil White	8/15/17	3/14/19	
7	Care and Shelter Capability Building – Close Out	Corinne Bartshire	5/22/18	3/14/19	
8	Supply Chain Management Project Update	Amy Ramirez	6/5/18	3/14/19	
9	Technical Assistance Program Update	Janell Myhre	5/25/18	3/14/19	
10	Bay Area UASI Annual Report, Budget, and Work plans	Craig Dziedzic	6/5/18	5/9/19	
11	Workgroup Annual Plans	Janell Myhre	6/5/18	5/9/19	
12	Annual Stakeholder Feedback Report	Janell Myhre	5/25/18	5/9/19	
13	Regional Public Health Project Update	ABAHO	6/5/18	5/9/19	
14	Critical Transportation Capability Building Progress Update	Corinne Bartshire	5/22/18	5/9/19	
15	Cyber Update	Mikyung Kim-Molina and Brian Rodrigues	8/21/18	5/9/19	
16	FY19 Hub Projects	Janell Myhre	10/17/18	7/11/19	
17	FY19 Regional Projects	Janell Myhre	10/17/18	7/11/19	
18	FY19 Allocations	Tristan Levarado	10/17/18	7/11/19	
19	NCRIC Health Liaison Project Update	Carl Hess	10/17/18	7/11/19	
20	Proposal Guidance	Janell Myhre	10/17/18	7/11/19	

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levarado		1/10/19 3/14/19 5/9/19 8/8/19 11/14/19	Reallocation of Grant Funds FY17 UASI Spending Report UASI Travel Expenditures FY18 UASI Spending Report FY17 UASI Spending Report
B	BayRICS JPA Quarterly Report	Report	Corey Reynolds		1/10/19 3/14/19 7/11/19 11/14/19	BayRICS JPA Report
C	Election of UASI Officers	Discussion & Action Item	Chair		1/10/19 (annually)	
D	NCRIC Annual Report	Report	Mike Sena		1/10/19 (annually)	
E	Training and Exercise Program Annual Report	Report	Shawn Sexton		1/10/19 (annually)	
F	NCRIC Threat Briefing	Report	Mike Sena		1/10/19 (annually)	



**To: Bay Area UASI Approval Authority**  
**From: Craig Dziedzic, General Manager**  
**Date: November 8, 2018**  
**Re: Item 4: Regional Training/Exercise Program**

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**Staff Recommendation:**

Staff recommends approving the Bay Area UASI training/exercise program as an overall comprehensive regional program that precludes bifurcating one component from another.

Staff also recommends considering a reallocation of grant funds for the regional training and exercise program if the Alameda County Board of Supervisors does not approve the MOU by March 2019 due to Cal OES grant requirements (see below).

**Action or Discussion Items:**

- (a) The Bay Area UASI Training/Exercise Program is a comprehensive regional program that precludes bifurcating one component from another (Discussion and Possible action).
- (b) If the Alameda County Board of Supervisors does not approve the MOU by March 2019 the Approval Authority should consider reallocating funding for the regional training and exercise program due to Cal OES grant requirements. (Discussion and Possible action).

**Background:**

At the January 11, 2018 Approval Authority meeting, \$4,901,339 was approved from the FY 18 UASI grant to fund the Regional Training and Exercise Program that is managed by the Alameda County Sheriff's Office. The funding supports training, exercise, planning, and program support, including Urban Shield 2019. In order for the Alameda County Sheriff's Office to incur expenditures for the Training and Exercise Program, the Alameda County Board of Supervisors needs to accept/approve the MOU between Alameda County and the Bay Area UASI.

In January 2017, the Alameda County Sheriff's Office and the Alameda Board of Supervisors adopted guidelines for the Urban Shield Exercise. In March 2018, upon acceptance of UASI FY 2017 funds, the Alameda County Board of Supervisors resolved that it was the last time Urban Shield as "currently constituted" would be approved by that Board; and in fact; an ad hoc committee was formed to provide recommendations to the Board. Since October 2018, the ad hoc

committee has scheduled meetings through December 2018. The Alameda County Board of Supervisors will not approve the UASI 2018 MOU until the ad hoc committee recommendations are received and approved.

Because of grant guidelines and performance period requirements, a failure by the Alameda County Board of Supervisors to approve the MOU in a timely manner puts the region's use of grant funds at risk. Previously, due to the County's delay in approving the FY 2017 MOU, regional courses which were scheduled to begin in November 2017 were postponed until May 2018- a five month delay. For UASI FY 2018, the performance period ends on 12/31/19. If the Alameda County Board of Supervisors does not approve the MOU by March 2019 the County may be considered a risk for failing to demonstrate the ability to perform and spend the grant funds in a timely manner pursuant to Cal OES grant requirement (see below).

### **FEMA Grant Requirement**

As a component of FEMA's National Preparedness System, training/exercises are conducted to test and validate plans and capabilities. "An effective and comprehensive exercise program ... is essential to the success of the National Preparedness System".<sup>1</sup> The related costs are allowed under the UASI grant guidance.<sup>2</sup> It is required that all UASI grant recipients develop a multi-year Training and Exercise Plan (TEP) that identifies training and exercises priorities and activities.<sup>3</sup>

### **Bay Area UASI Training/Exercise program**

On April 22, 2011, the Approval Authority agreed to have the Alameda County Sheriff's Office be the Bay Area's Training and Exercise program manager and provider for FY 2010 and 2011. On July 15, 2011, the MOU between the Bay Area UASI and Alameda County was signed by all parties and put into effect. The regional exercise follows the planning, training, exercise, and improvement plan cycle pursuant to FEMA's National Preparedness System.

Since 2011, the regional training and exercise program has progressively matured to provide more than 151 courses to the region and has had more than 26,000 participants registered in the program. The Urban Shield exercise has also expanded to include Yellow Command (EOC exercise), Grey Command (community disaster fair), and Green Command (CERT exercise).

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<sup>1</sup> National Preparedness System, U.S. Department of Homeland Security, November 2011, p 5.

<sup>2</sup> Notice of Funding Opportunity (NOFO), The U.S. Department of Homeland Security, FY 2018 Homeland Security Grant Program, p.19.

<sup>3</sup> Ibid P.46.

## **CAL OES Grant Requirement**

Under Cal OES Supplemental Grant guidance, Cal OES may suspend or terminate grant funding, in whole or in part...for failure to expend funds in a timely manner consistent with grant milestones, guidance, and assurances...[and/or] failure to make satisfactory progress toward the goals or objectives set forth in the Subrecipient application.<sup>4</sup>

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<sup>4</sup> Cal OES Fiscal Year 2018 Homeland Security Grant Program, California Supplement to the Federal Notice of Funding Opportunity, Sept. 2018., Pp24-25.



**To: Bay Area UASI Approval Authority**

**From: Kyle L. Trambley, Policy Office, California Governor's Office of Emergency Services**

**Date: November 8, 2018**

**Re: Item 5: Preventing Violent Extremism Statewide Program Update**

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**Action or Discussion Items:**

Discussion

**Background:**

In response to the increase in domestic and internationally-inspired violent extremism cases, the California Governor's Office of Emergency Services (Cal OES) established a Preventing Violent Extremism (PVE) Program in March 2017. The agency's approach aims to support California's communities in their efforts to build and enhance locally-led violence prevention and intervention programs.

Cal OES launched a series of resources to support locally-led programs by enhancing resources available to fill gaps in community needs in May 2018. Among other activities, Cal OES launched an interactive website for stakeholders to share best practices, knowledge, program models, and challenges. This website hosts a virtual training to assist interested communities in building program frameworks to enhance local resiliency against all forms of violence. Additionally, Cal OES is funding 5 non-profit pilot projects that support the PVE objectives outlined in California's Homeland Security Strategy.

**Discussion:**

Cal OES intends to report on the State's commitment to prevent violent extremism in California. This presentation will review the State PVE Program's implementation process, the awards for the Nonprofit Pilot PVE Grant, and provide insight on programmatic plans for the future.

**Next Steps:**

By December 2018, Cal OES plans to identify two pilot jurisdictions to launch the State's PEACE Plan Initiative. Cal OES intends to gauge the Bay Area UASI members' interest in collaborating with the State on this project and build a locally-led violence prevention or intervention program.



**Cal OES**

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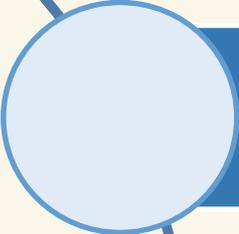
# Preventing Violent Extremism Program

*Kyle L. Trambley*

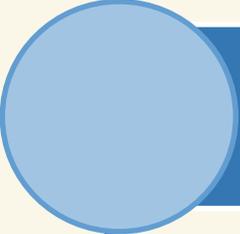
*Preventing Violent Extremism Coordinator*

November 8, 2018

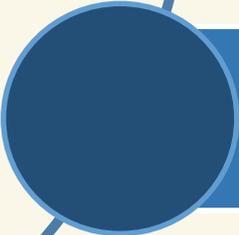
# PVE Program Goals



Strengthen the network of PVE practitioners in California



Enhance existing PVE resources available to locally led programs



Remain flexible enough to assist all types of communities throughout the state



# PVE Program Components

## Program Services

1. Cal OES hosts the **California Community Portal**, which facilitates the sharing of knowledge, resources, best practices, and challenges among PVE stakeholders in California.
2. Provide a virtual “**First Steps Training**” aimed at preparing local governments to build sustainable and cohesive locally-led prevention and intervention frameworks.
3. Supports California’s non-profits through the **2018 Non-Profit Pilot Grant Program** (\$625,000).
4. Assists Interested communities in building locally-led frameworks by providing roadmaps that address their unique needs: **PEACE Plans**.



# Cal OES PVE Working Group

## Five Steps to Develop PVE Framework

Discuss  
Unique  
Challenges

Consider  
Scope

Establish  
Priorities

Identify  
Available  
Resources

Develop  
Metrics



# PVE Non-Profit Grant Program

## Importance of Non-Profits in PVE

**Local organizations and nonprofits are at the forefront of developing innovative solutions for addressing violent extremist messaging.**

### 2018 PVE Non Profit Grant Program

- 17 total applications
- 5 programs selected to receive a maximum \$125k grant
- Proposed academic evaluation of programs as implemented





Implementing a Violence Prevention Network into the 2-1-1 Community Information Exchange (CIE) and develop at-risk individuals framework.

Expand refugee and immigrant counseling services to include access to outside organizations to provide culturally-responsive services.





# *Cal* OES

GOVERNOR'S OFFICE  
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## ★ Questions?

**Kyle L. Trambley**  
**Preventing Violent Extremism Coordinator**  
**(916) 845-8873**  
**[Kyle.Trambley@caloes.ca.gov](mailto:Kyle.Trambley@caloes.ca.gov)**



**To: Bay Area UASI Approval Authority**  
**From: Amy Ramirez, Regional Project Manager**  
**Date: November 8, 2018**  
**Re: Item 6: FY 2019 UASI Hub Funding Formula**

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**Staff Recommendations:**

Approve the proposed FY 2019 UASI hub funding formula.

**Action or Discussion Items:**

Action

**Discussion:**

***A. Formula***

The Bay Area UASI uses DHS' Metropolitan Statistical Area (MSA) risk formula to guide the portioning of grant dollars among the Bay Area four hubs using risk criteria. We have used the following hub funding formula for the past ten years:

$$\textit{Population risk (49\%)} * \textit{Asset risk (29\%)} * \textit{Economic risk (22\%)}$$

Haystax Technology calculates population risk using census, density, and commuter data; asset risk using threat, vulnerability, and consequence data from the Bay Area UASI asset catalog in Cal COP; and economic risk by using GDP by MSA and industry data from the U.S. Bureau of Economic Analysis.

**B. Calculation**

Haystax Technology combines the three weighted risk elements (population, asset, and economic) to determine each hub’s overall percentage of risk in the region. Although the formula remains the same, there is more recent data to input into the formula and therefore a slight change to the risk percentages among the hubs as compared to FY18:

- Population: The Management Team refreshed all of the population data this year as planned.
- Asset: The Management Team shared an annual update to the asset data with the Approval Authority in June of 2018. There was also almost no change in the asset risk distribution percentages, given that our asset database is now mature and analytically robust from the data clean up undertaken in 2015.
- Economic: The Management Team refreshed economic data this year as planned.

The FY 2019 hub allocation risk percentages are substantively unchanged from FY 2018.

The table below provides the FY 2019 hub risk allocation percentage results as compared to FY 2018. As always, upon request, the Management Team will be happy to meet with jurisdictions to review in detail the hub funding formula process and updates.

<b>Hub</b>	<b>FY 2018 Allocation Percentage</b>	<b>FY 2019 Allocation Percentage</b>
East	23.5%	23.6%
North	6.8%	6.6%
South	24.5%	25.1%
West	45.2%	44.7%
<b>TOTAL</b>	<b>100%</b>	<b>100%</b>



**To: Bay Area UASI Approval Authority**  
**From: Amy Ramirez, Regional Project Manager**  
**Date: November 8, 2018**  
**Re: Item 7: 2018 THIRA/SPR**

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**Staff Recommendations:**

Approve the 2018 THIRA/SPR for submission to FEMA.

**Action or Discussion Items:**

Action

**Discussion:**

Since 2004, FEMA has required that UASI organizations complete a Threat and Hazard Identification and Risk Assessment (THIRA). This year, FEMA modified the THIRA and added a new requirement, the Stakeholder Preparedness Review (SPR). The purpose of the SPR is to identify a community's capacity to address the threats and hazards identified in the THIRA. The THIRA and SPR are important for strategic planning and investment justifications, but do not affect the amount of funding the Bay Area UASI region receives from the Department of Homeland Security.

The Management Team has updated the 2018 THIRA, including the SPR. Today, the 2018 THIRA/SPR document is being presented to the Approval Authority for approval for submission to FEMA. Amy Ramirez, Regional Project Manager, will provide a background briefing on the updated THIRA/SPR.

The 2018 THIRA/SPR document is FOUO and has been prepared following FEMA's formatting specifications. It is available to Approval Authority members in hard copy at the November 2018 Approval Authority meeting.

We would like to thank the regional stakeholders who provided subject matter expertise to the THIRA/SPR.

# Bay Area UASI

## Threat and Hazard Identification and Risk Assessment (THIRA) & Stakeholder Preparedness Review (SPR)

Amy Ramirez, Regional Project Manager  
Risk Management

Approval Authority Meeting  
November 8, 2018





# Overview

- Requirement for all Homeland Security Grant Program recipients
- Changes for 2018:
  - Modified the THIRA process
  - Added Stakeholder Preparedness Review (SPR)



# Why the change?

- Create consistency in reporting across states, UASI organizations, and tribes
- More comprehensive and useful assessment
- Guide strategic direction of fed support for technical assistance, exercises, response & recovery
- Demonstrate return on investment



# Threat & Hazard Identification and Risk Assessment (THIRA)





# Stakeholder Preparedness Review (SPR)

Assess Capabilities

1

Assessment and description of current capability

Identify and Address Gaps

2

Descriptions of capability gaps and strategies to address gaps, by POETE area

Report Grant Contribution

3

Assessment and description of preparedness grant impact on capabilities



# 2018 Approach

1. Updated the earthquake (EQ) scenario in the THIRA
2. Drafted THIRA / SPR using existing sources:
  - 2017 THIRA
  - 2017 Capability Assessment Tool
  - Bay Area EQ Plan
  - Regional Catastrophic Plans (RCPGP)
  - UASI grants (FY16 & FY17)
3. Input from UASI Workgroups
4. Survey to Emergency Managers



# THIRA / SPR - 3 Year Cycle

2018: THIRA/SPR for 19 of 32 core capabilities (Year 1, phase 1)

2019: THIRA/SPR for all core capabilities (Year 1, phase 2)

2020: Update SPR (Year 2)

2021: Update SPR (Year 3)

2022: THIRA/SPR for all core capabilities

## Contact Information:

Amy Ramirez

UASI Regional Project Manager

415-353-5242

[amy.ramirez@sfgov.org](mailto:amy.ramirez@sfgov.org)

Bay Area UASI





**To: Bay Area UASI Approval Authority**  
**From: Brian Rodrigues, NCRIC**  
**Mikyung Kim-Molina, Bay Area UASI**  
**Date: November 8, 2018**  
**Re: Item 8: Public Safety Information Sharing Update**

---

**Staff Recommendation:**

No recommendation

**Action or Discussion Items:**

Discussion

**Discussion:**

This presentation will provide a status update on the regional Public Safety Information Sharing Project.

NCRIC Assistant Deputy Director Brian Rodrigues and UASI Project Manager Mikyung Kim-Molina will present project highlights.

Please find the PowerPoint presentation in Appendix A.

# PUBLIC SAFETY INFORMATION SHARING PROGRAM



# ACCOMPLISHMENTS

- **Leveraged Investments: UASI, ACCOPSA, agency user fees, state and local funding**
- **Over 150 participating jurisdictions**
- **Over 16,000 active users**
- **726,000 searches over the past 12 months**
- **Integrated new data types**

# NEXT STEPS

- **Navigate LEAP and COPLINK merger**
- **Leverage the cloud**
- **Provide user training on new LEAP and COPLINK platform**
- **Expand participation to include more jurisdictions**
- **Re-integrate static data sources**



# SUCCESS STORIES



**To: Bay Area UASI Approval Authority**  
**From: Corinne Bartshire, Regional Project Manager**  
**Date: November 8, 2018**  
**Re: Item 9: Critical Transportation Capability Building Project**

---

**Recommendations:**

No recommendation

**Action or Discussion Items:**

Discussion

**Background:**

In January 2018, the Approval Authority allocated \$150,000 for the first year of this regional Critical Transportation Capability Building Project with oversight by the UASI's Emergency Management Work Group. This project, kicking off in January 2019, will build upon the 2016 C-POD and 2017-2018 Care and Shelter Capability Building regional projects. Deliverables will include a regional workshop series, local government plan evaluations and regional improvement strategy, documentation of Bay Area transportation resources, and a local government planning toolkit.

**Discussion/Description:**

Regional Project Manager Corinne Bartshire will present highlights of the upcoming year's Critical Transportation Capability Building Project. The attached Appendix A is an accompanying PowerPoint presentation.

# Bay Area UASI

## Regional Critical Transportation Capability Building

## Project Introduction

*Approval Authority Meeting*

*Agenda Item 9*

*November 8, 2018*

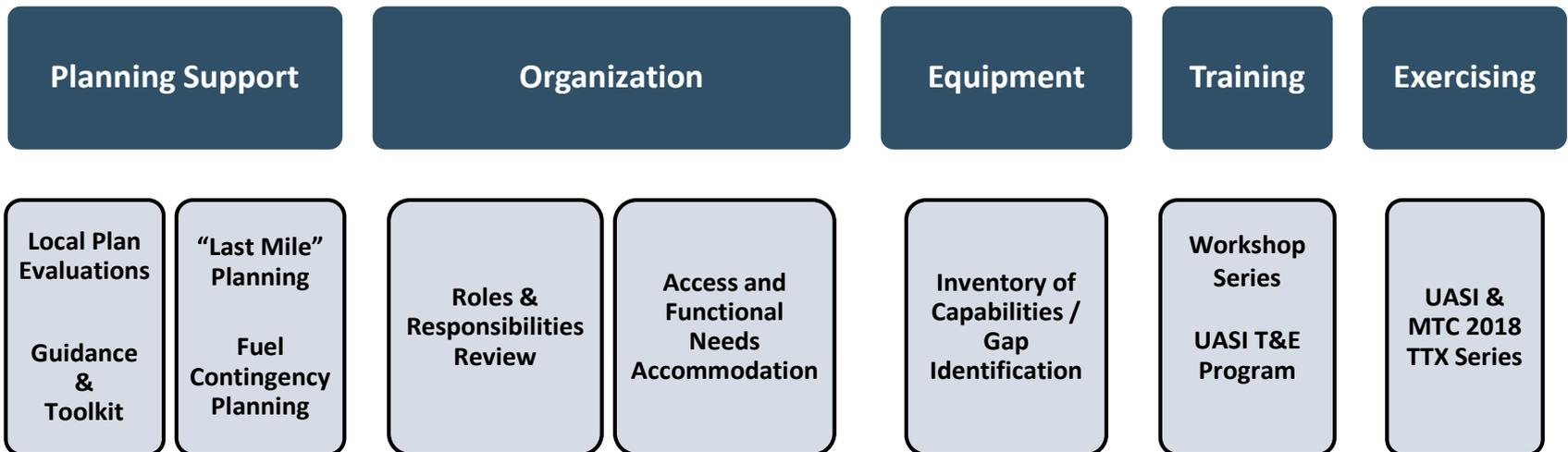




# Project Overview

**Goal:** *Build local government capabilities, partnerships and coordination*

Core Capabilities:	Regional Targets:
<b>Critical Transportation</b>	Evacuate 330,150 people in 14 days; Clear 200 miles of road in 24 hours
<b>Logistics and Supply Chain Management</b>	Mobilize life-sustaining resources/services to 100,000 people





# Project Participants

In partnership with:



## Critical Transportation Subcommittee (CTSC) Members:

Bay Area UASI Jurisdictions  
Transit Authorities including:

- BART
- SF MTA
- WETA
- Golden Gate Transit
- Santa Clara Valley Transit Authority
- AC Transit
- SFO / OAK / SJC

Bay Area Partnership  
Accessibility Committee

Disaster Airlift Response Team  
(DART)

Cal OES Coastal Region

CA Dept of General Services

CA Dept of Social Services

Cal Trans

California Highway Patrol

U.S. Dept of Transportation

U.S. Coast Guard

...and others!



# Estimated Timeline

2019	Events for Critical Transportation Subcommittee (CTSC)
January	CTSC Kick Off Meeting
February	MTC Regional TTX
March	Regional Workshop #1 (Roles & Responsibilities)
April	CTSC Quarterly Meeting (mini workshop) <ul style="list-style-type: none"><li>• State Unified Logistics Plan</li><li>• State Fuel Set-Aside Program</li></ul>
May	MTC Workshops & UASI Hub TTXs (2)
June	MTC Workshops & UASI Hub TTXs (2)



# Estimated Timeline (cont.)

2019	Events for Critical Transportation Subcommittee (CTSC)
July	Hub TTX After Action Meetings (4)
August	CTSC Quarterly Meeting (mini workshop) <ul style="list-style-type: none"><li>• Accommodating Access and Functional Needs</li></ul>
September	Regional TTX
October	Regional TTX After Action Meeting
November	Regional Workshop #2 (Capabilities Review & Resource Request Process)
December	CTSC Quarterly Meeting <ul style="list-style-type: none"><li>• regional strategy and deliverables review</li></ul>
<i>Project expected to continue through 2020</i>	



**To: Bay Area UASI Approval Authority**

**From: Corinne Bartshire, Regional Project Manager**

**Woody Baker-Cohn, Emergency Services Coordinator, Marin County Sheriff's Office**

**Date: November 8, 2018**

**Re: Item 10: Regional WebEOC Standardization Project**

---

**Staff Recommendations:**

No recommendation

**Action or Discussion Items:**

Discussion

**Background:**

The FY17 Regional WebEOC Standardization Project (\$60,000) builds upon past efforts and Yellow Command After Action Report improvement items to establish effective fusion between Operational Area instances of WebEOC with the State's CalEOC system. Through this project, common boards that function across platforms have been established for requesting resources and sharing situation status. Additionally, a statewide shelter status board was developed and exercised. This project provides ongoing training to WebEOC/CalEOC users within all 12 Operational Areas.

**Discussion:**

Regional Project Manager Corinne Bartshire will introduce Woody Baker-Cohn, Emergency Services Coordinator, Marin County Sheriff's Office. Mr. Baker-Cohn will provide an update on the FY17 Regional WebEOC Standardization Project. The attached Appendix A is an accompanying PowerPoint presentation.

# Regional WebEOC Standardization Project Update

Approval Authority Meeting  
Agenda Item 10  
Nov 8, 2018



Woody Baker-Cohn, Marin County Sheriff's Office / OES  
[wbaker-cohn@marinsheriff.org](mailto:wbaker-cohn@marinsheriff.org) / 415-473-2724

# Higher Level Objectives

- Easy and ‘universal’\* exchange of critical information
  - Between OAs within the Bay Area UASI
  - To and from the state
- Info exchange needs to be as automated as possible



**High Quality, Efficient SA / COP**



# Accomplishments in '18

- Statewide Shelter Status Reporting
- Statewide Mission / Resource Request board / process
- Prototype SitRep Reporting

The image displays three screenshots of the Intermedia software interface. The top screenshot, titled "Statewide Shelter Status", shows a table of shelter information with columns for Op Area, City, Name, Status, Exercise?, Type, ADA Level, Pet Friendly?, Back-up Power, Evac Capacity, Shelter Capacity, Occupancy, Availability, and Last Updated. The middle screenshot, titled "Mission Tasking - County", shows a table of mission requests with columns for Op Area, Request Number, Mission Number, Call DES Coordinator, Status, Tasks, Priority, Resource Needed, Resource Coordinator, Request Summary, and Date/Time Due. The bottom screenshot shows a "Statewide Op Area SitRep Overview" and an "Op Area Situation Report" form. The SitRep form includes fields for Op Area, Period Covered, EOC Activation Level (set to "Closed"), and Incident Status (set to "Unknown").

Utilized Effectively in Yellow Command 2018



# Next Steps for '19

- Build & deploy Bay Area Transportation Resource Request board
- Finalize & deploy SitRep / Situation Reporting board
- Connect & verify all Bay Area WebEOC systems with CalOES' updated WebEOC (CalOES production target 1/19)

**Target Regional Exercise '19**



# Questions?



# Back Up



# Standardized WebEOC Boards Developed

## Statewide Boards

- SW EOC Status
- SW Road Status
- SW Shelter Status
- SW Significant Events
- SW SitRep

## Local Boards

- Activity / Significant Events
- Critical Infrastructure Status
- EOC / DOC Communications Status
- Links Library
- Local EOC Status
- School Status

**Key WebEOC Boards Available**





**To: Bay Area UASI Approval Authority**  
**From: Corey Reynolds, BayRICS General Manager**  
**Date: November 8, 2018**  
**Re: Item 11: BayRICS JPA Quarterly Report**

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**Staff Recommendation:**

No recommendation

**Action or Discussion Items:**

Discussion

**Discussion:**

BayRICS General Manager Corey Reynolds will provide a quarterly report on the strategic initiatives, progress, and future goals of the BayRICS Authority. The attached Appendix A is a PowerPoint presentation summarizing the highlights of the report.



# BayRICS Quarterly Update

Bay Area UASI Approval Authority

November 8, 2018



# BayRICS Strategic Plan

## Strategic Plan Goals

Staffing and Financial Management

Regional Coordination of Voice and Data Communications

Monitor and Manage FirstNet

Member Communications and Outreach



## **Staffing and Financial Management**

- Reducing reliance on grant funding for operational expenses
- Exploring changes to the Joint Powers Agreement to allow the addition of new BayRICS members



## Regional Coordination of Voice and Data Communications

- Oversee Monthly Radio Operators Advisory Group Meetings
- Develop and maintain regional interoperability guidelines, policies, and procedures
  - System Key Exchange Guidelines
  - Regional Fleet Map
  - Regional TICP
- Monitor and keep stakeholders informed about the development of new technologies and champion necessary regional policies or governance
  - Regional guidelines/best practices for Local Control/Uplift



## TICP

- A Tactical Interoperable Communications Plan, or TICP, is a comprehensive overview of communications assets that includes:
  - What assets exist (type, quantity, capabilities, limitations, etc.)?
  - Who manages the assets?
  - Where are they located?
  - How to request / deploy / activate / de-activate / test assets?
  - Which shared channels / talkgroups should be used and when?
- Project Update and Next Steps
  - Plan workshop held October 11, 2018
  - Plan completed by mid-December
  - FY19 UASI proposal for a TICP Mobile Application



## FirstNet Update

- Statewide FirstNet Contract Vehicle Launched
  - <https://cdt.ca.gov/services/calnet-ordering>
- Personal Devices on FirstNet Network
- CalOES *Top 5 Coverage Needs* Request
  - Top five site locations needing coverage improvements in each county
  - Incorporated into CalOES' annual input to AT&T for potential new cell site locations



# Questions?

Corey Reynolds  
BayRICS General Manager  
[corey.reynolds@bayrics.net](mailto:corey.reynolds@bayrics.net)  
(925) 803-7882



**To: Bay Area UASI Approval Authority**  
**From: Tristan Levardo, CFO**  
**Date: November 8, 2018**  
**Re: Item 12: FY2016 UASI Spending Report**

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**Staff Recommendation:**

No recommendation

**Action or Discussion Item:**

Discussion

**Summary**

The sub-recipient performance period for FY2016 UASI grant is November 1, 2016 – December 31, 2017. Several projects were approved for extension to complete the work.

Pursuant to the Approval Authority Bylaws, *Section 8.5 (c) Modification of Grant Allocations*, the Management Team shall report to the Approval Authority any timeline changes for projects with budgets over \$250,000 that delay the final project completion date by more than six months. San Francisco Fire Department’s Operations Center Upgrade and Enhancement project received an extension up to 12/31/18.

**Financial Information:**

Jurisdiction	Budget	Spending	Spent %	Committed
Management Team	3,960,989	2,313,026	58%	1,647,963
Alameda	5,335,014	5,214,239	98%	120,775
Berkeley PD	125,373	125,373	100%	
Contra Costa	504,229	500,440	99%	3,789
Fairfield	72,576	72,576	100%	
Marin	196,887	196,841	99%	46
Monterey	191,699	191,699	100%	

NCRIC	4,393,204	4,228,552	96%	164,652
Oakland	1,063,629	790,354	74%	273,275
Pittsburg	268,221	268,221	100%	
San Francisco	3,106,196	2,748,058	88%	358,138
San Jose	1,599,641	1,521,032	95%	78,609
San Mateo	807,355	806,617	99%	738
Santa Clara	435,000	431,028	99%	3,972
Santa Cruz	137,841	121,852	88%	15,989
Solano	75,279	75,279	100%	
Sonoma	151,278	146,946	97%	4,332
Southern Marin Fire District	4,389	4,389	100%	
<b>Total</b>	<b>22,428,800</b>	<b>19,756,522</b>	<b>88%</b>	<b>2,672,278</b>

